

# **(ADVANCED) DATABASE SYSTEMS (DATABASE MANagements)**

**PROF. DR. HASAN HÜSEYİN BALIK  
(1<sup>ST</sup> WEEK)**

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# OUTLINE

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- Course Information and Policies
- Course Syllabus
- 1. The Database Environment and Development Process

# COURSE INFORMATION

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- ✖ Instructor: Prof. Dr. Hasan Huseyin BALIK,  
[hasanbalik@gmail.com](mailto:hasanbalik@gmail.com) and [www.hasanbalik.com](http://www.hasanbalik.com)
- ✖ Class Homepage:  
[http://www.hasanbalik.com/LectureNotes/Data  
Base/](http://www.hasanbalik.com/LectureNotes/DataBase/)  
Book: Modern Database Management, 12th  
Edition, 2016
- ✖ Grading: Assignment (%40), Attendance (%20)  
and Final (%40)
- ✖ [http://www.hasanbalik.com/LectureNotes/DataB  
ase/syllabus.pdf](http://www.hasanbalik.com/LectureNotes/DataBase/syllabus.pdf)



# COURSE SYLLABUS-1

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- The Context of Database Management
  - The Database Environment and Development Process
- Database Analysis
  - Modeling Data in the Organization
  - The Enhanced E-R (Entity-Relationship) Model
- Database Design
  - Logical Database Design and the Relational Model
  - Physical Database Design and Performance



# COURSE SYLLABUS-2

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## ■ Implementation

- Introduction to SQL
- Advanced SQL
- Database Application Development
- Data Warehousing

## ■ Advanced Database Topics

- Data Quality and Integration
- Data and Database Administration

# 1. OUTLINE

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## 1. The Context of Database Management

### 1.1 The Database Environment and Development Process

# **1.1 THE DATABASE ENVIRONMENT AND DEVELOPMENT PROCESS**

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# OBJECTIVES

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- ✗ Define terms
- ✗ Name limitations of conventional file processing
- ✗ Explain advantages of databases
- ✗ Identify costs and risks of databases
- ✗ List components of database environment
- ✗ Identify categories of database applications
- ✗ Describe database system development life cycle
- ✗ Explain prototyping and agile development approaches
- ✗ Explain roles of individuals
- ✗ Explain the three-schema architecture for databases

# DEFINITIONS

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- ✗ Database: organized collection of logically related data
- ✗ Data: stored representations of meaningful objects and events
  - + Structured: numbers, text, dates
  - + Unstructured: images, video, documents
- ✗ Information: data processed to increase knowledge in the person using the data
- ✗ Metadata: data that describes the properties and context of user data

# Data in context

## Class Roster

Course: MGT 500                      Semester: Spring 2015  
Business Policy

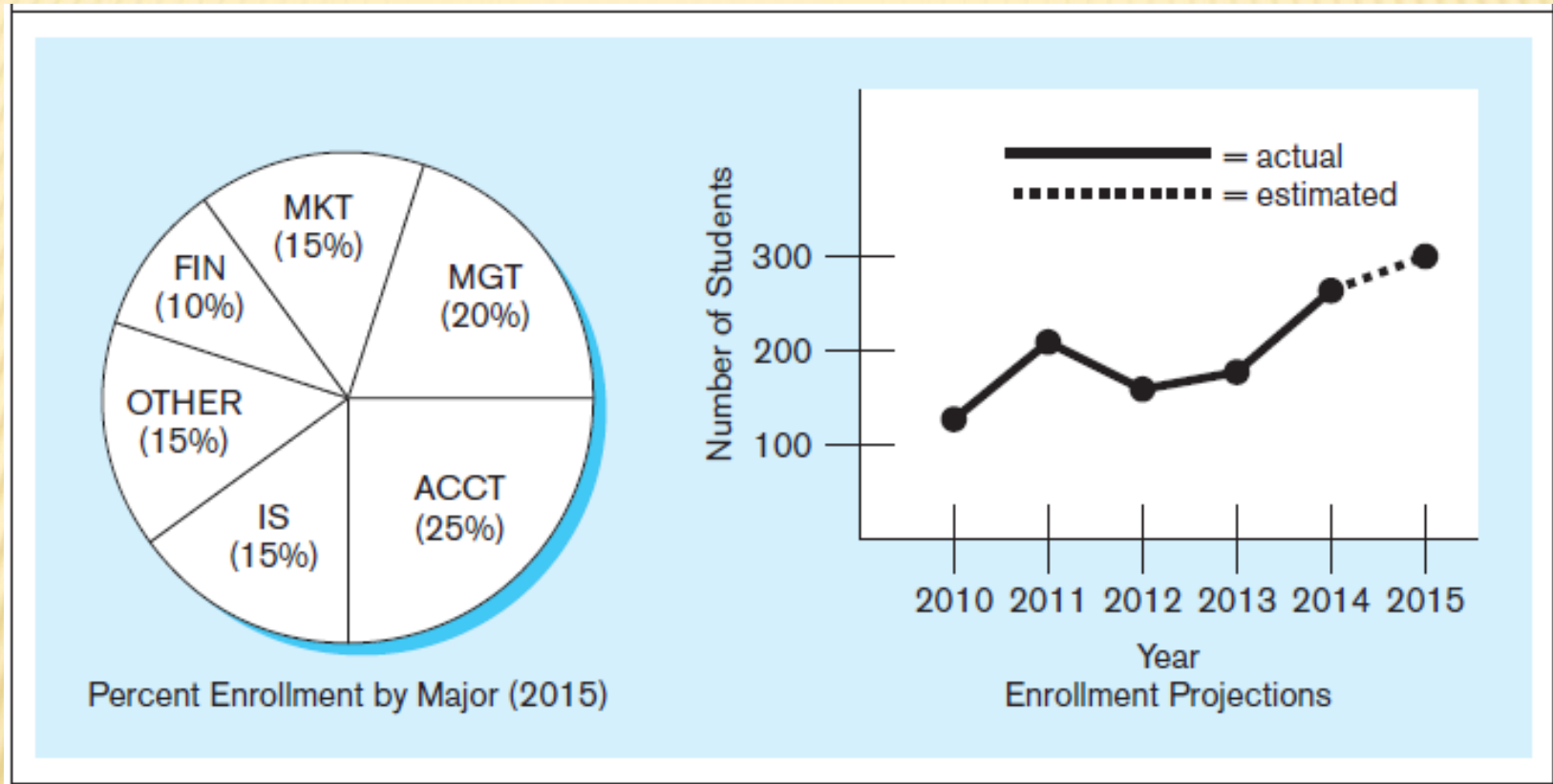
Section: 2

<u>Name</u>	<u>ID</u>	<u>Major</u>	<u>GPA</u>
Baker, Kenneth D.	324917628	MGT	2.9
Doyle, Joan E.	476193248	MKT	3.4
Finkle, Clive R.	548429344	PRM	2.8
Lewis, John C.	551742186	MGT	3.7
McFerran, Debra R.	409723145	IS	2.9
Sisneros, Michael	392416582	ACCT	3.3

**Context helps users understand data**



# Summarized data



**Graphical displays turn data into useful information that managers can use for decision making and interpretation**

**TABLE 1-1** Example Metadata for Class Roster

Data Item		Metadata				
Name	Type	Length	Min	Max	Description	Source
Course	Alphanumeric	30			Course ID and name	Academic Unit
Section	Integer	1	1	9	Section number	Registrar
Semester	Alphanumeric	10			Semester and year	Registrar
Name	Alphanumeric	30			Student name	Student IS
ID	Integer	9			Student ID (SSN)	Student IS
Major	Alphanumeric	4			Student major	Student IS
GPA	Decimal	3	0.0	4.0	Student grade point average	Academic Unit

**Descriptions of the properties or characteristics of the data, including data types, field sizes, allowable values, and data context**

# DISADVANTAGES OF FILE PROCESSING

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## ✗ Program-Data Dependence

- + All programs maintain metadata for each file they use

## ✗ Duplication of Data

- + Different systems/programs have separate copies of the same data

## ✗ Limited Data Sharing

- + No centralized control of data

## ✗ Lengthy Development Times

- + Programmers must design their own file formats

## ✗ Excessive Program Maintenance

- + 80% of information systems budget

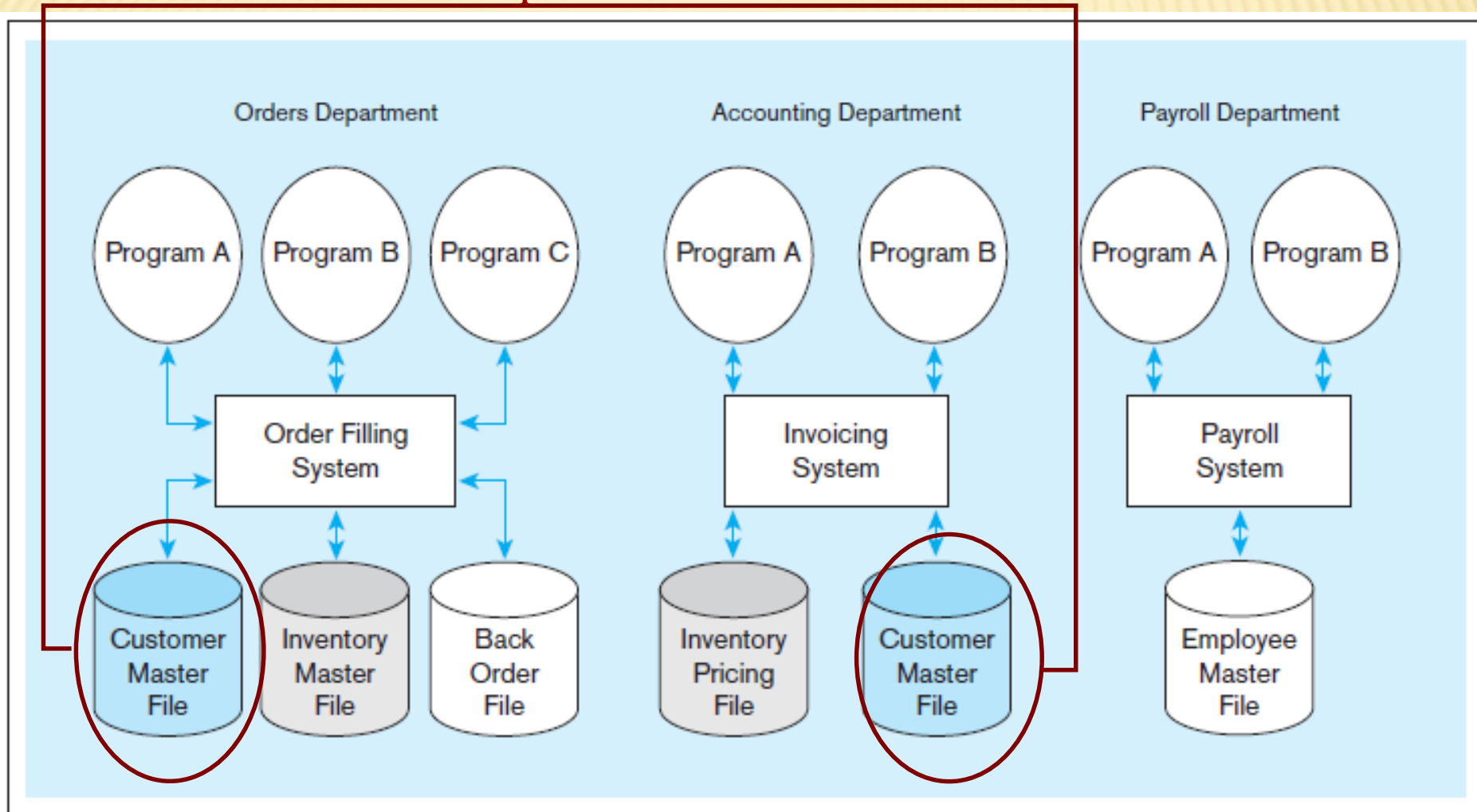


# PROBLEMS WITH DATA DEPENDENCY

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- ✗ Each application programmer must maintain his/her own data
- ✗ Each application program needs to include code for the metadata of each file
- ✗ Each application program must have its own processing routines for reading, inserting, updating, and deleting data
- ✗ Lack of coordination and central control
- ✗ Non-standard file formats

# Duplicate Data



**FIGURE 1-2** Old file processing systems at Pine Valley Furniture Company

# PROBLEMS WITH DATA REDUNDANCY

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- ✗ Waste of space to have duplicate data
- ✗ Causes more maintenance headaches
- ✗ The biggest problem:
  - + Data changes in one file could cause inconsistencies
  - + Compromises in *data integrity*
- + “data integrity” refers to ensuring the validity, security, and availability of a company’s data



# SOLUTION: THE DATABASE APPROACH

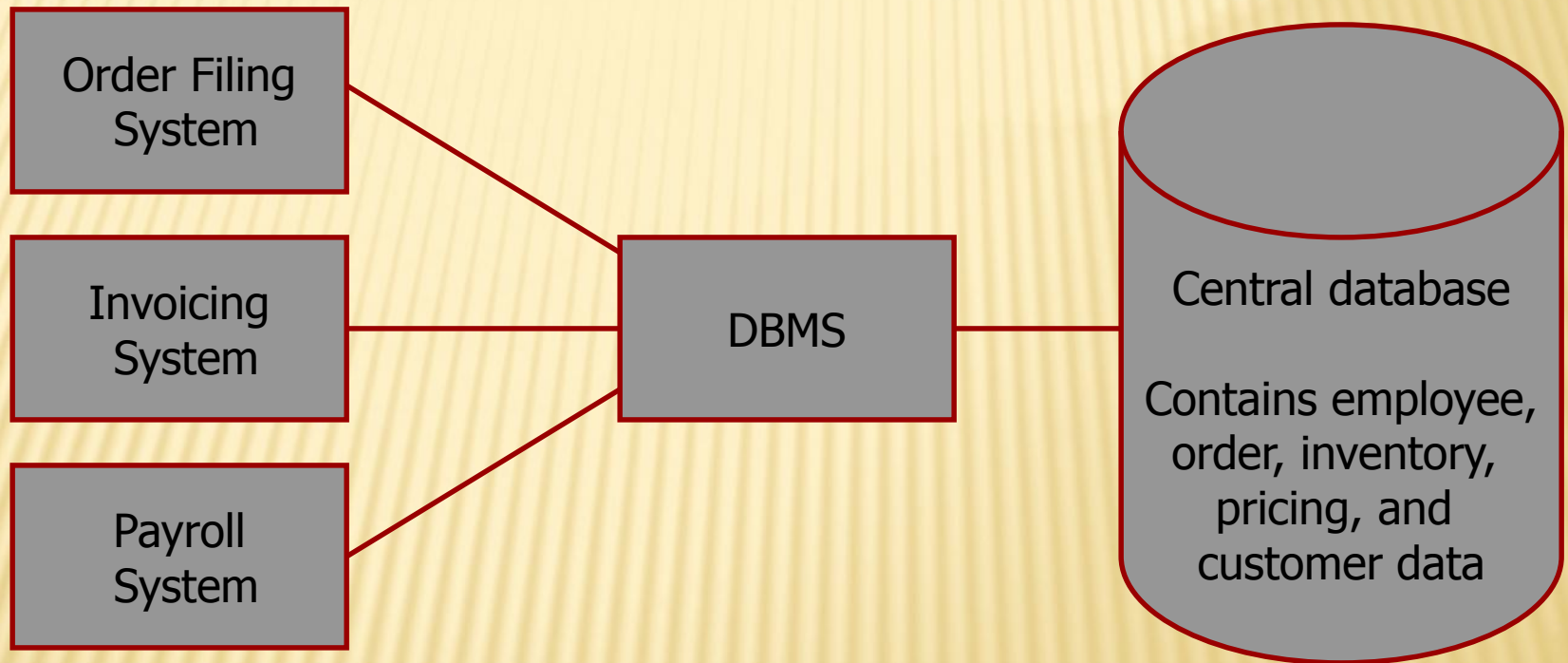
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- ✗ Central repository of shared data
- ✗ Data is managed by a controlling agent
- ✗ Stored in a standardized, convenient form

Requires a Database Management System (DBMS)

# DATABASE MANAGEMENT SYSTEM

- A software system that is used to create, maintain, and provide controlled access to user databases



*DBMS manages data resources like an operating system manages hardware resources*

# ELEMENTS OF THE DATABASE APPROACH

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## ✖ Data models

- + Graphical diagram capturing nature and relationship of data
- + Enterprise Data Model–high-level entities and relationships for the organization
- + Project Data Model–more detailed view, matching data structure in database or data warehouse

## ✖ Entities

- + Noun form describing a person, place, object, event, or concept
- + Composed of attributes

## ✖ Relationships

- + Between entities
- + Usually one-to-many (1:M) or many-to-many (M:N), but could also be one-to-one (1:1)

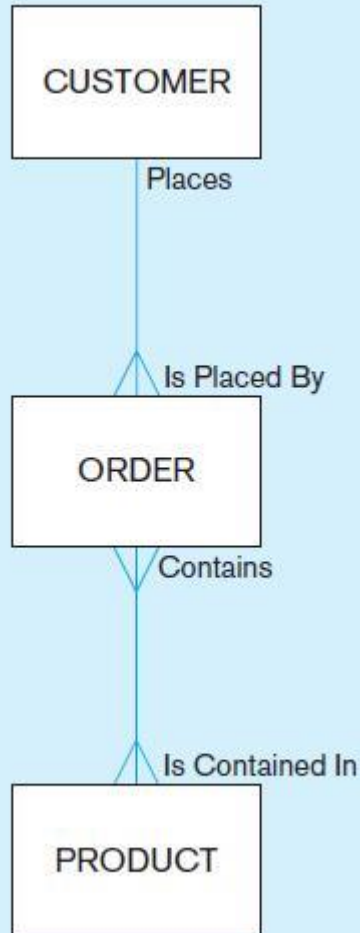
## ✖ Relational Databases

- + Database technology involving tables (relations) representing entities and primary/foreign keys representing relationships

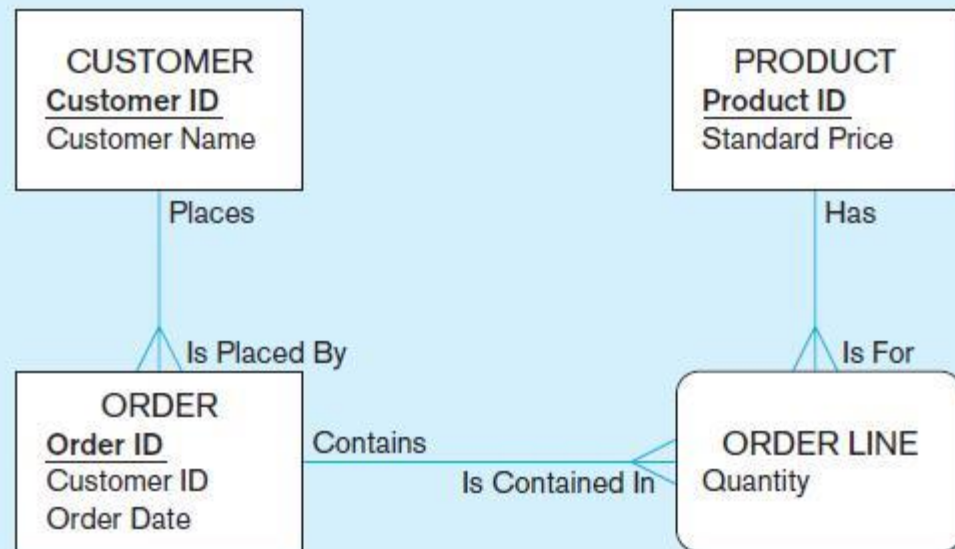


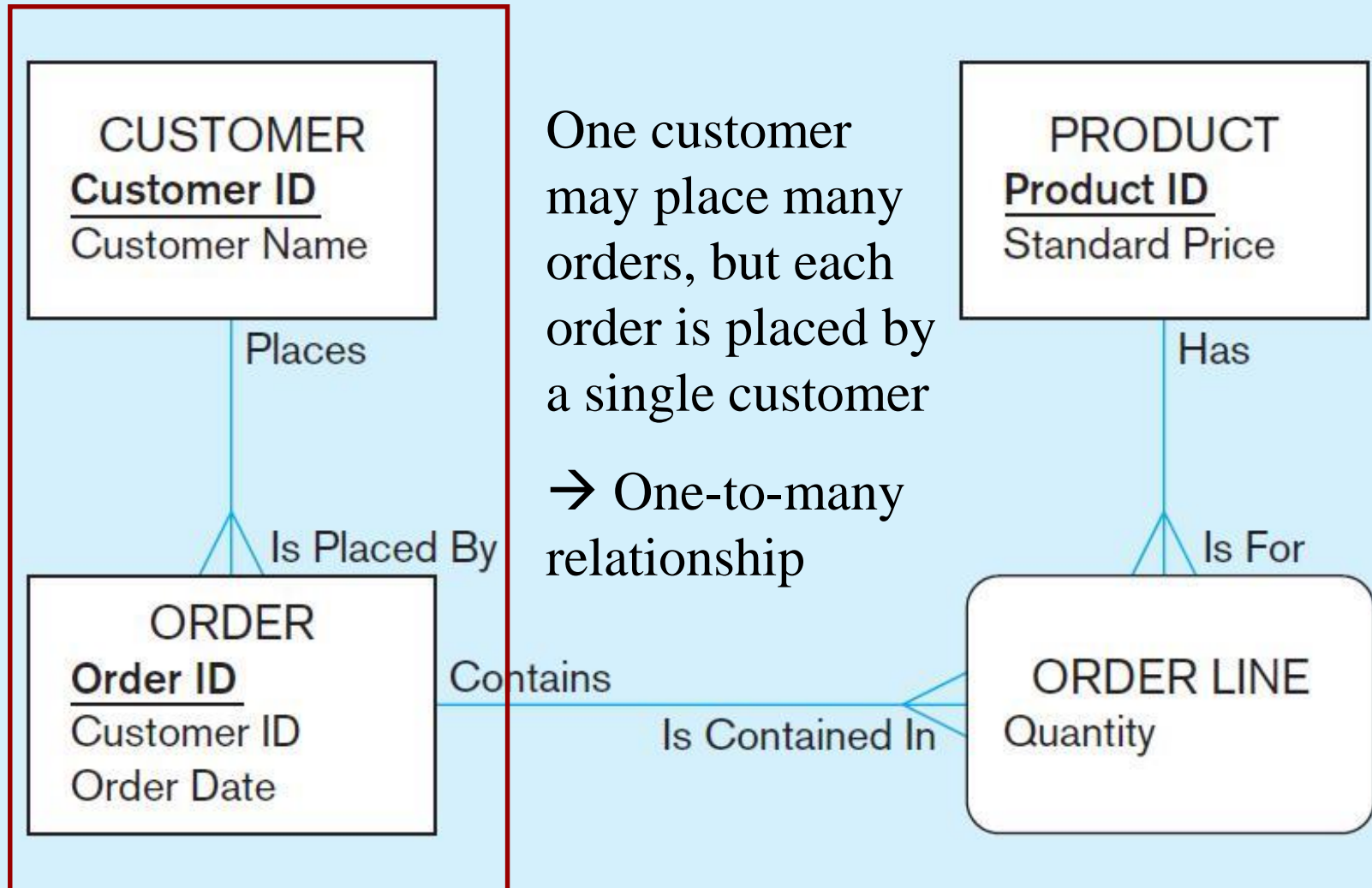
# Comparison of enterprise and project level data models

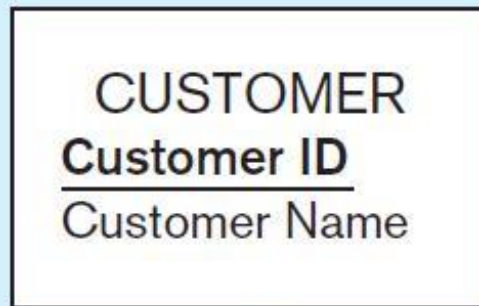
Segment of an enterprise data model



Segment of a project-level data model







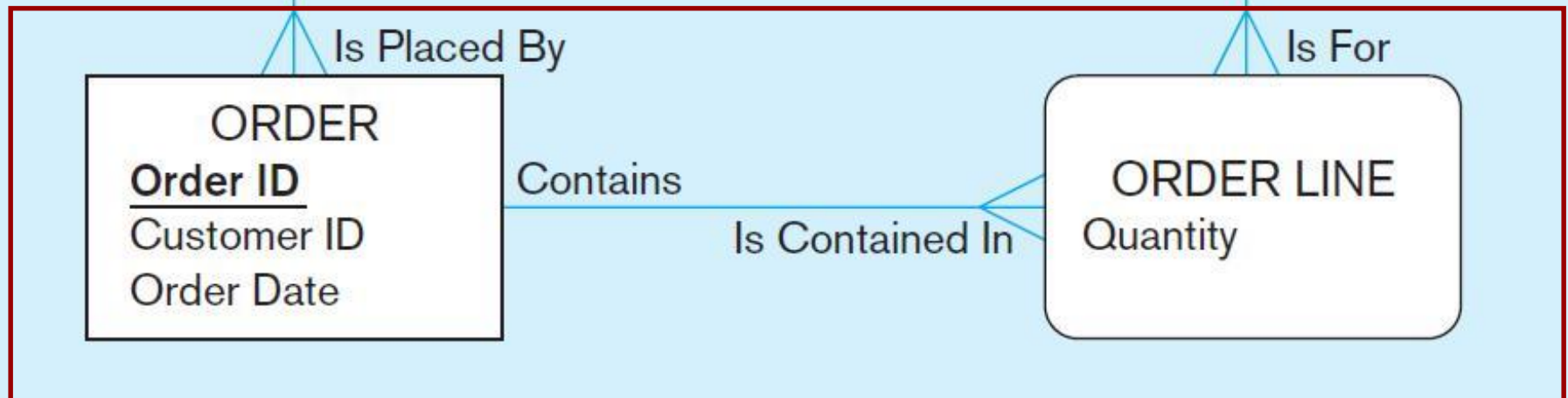
Places

One order has many  
order lines; each order  
line is associated with  
a single order

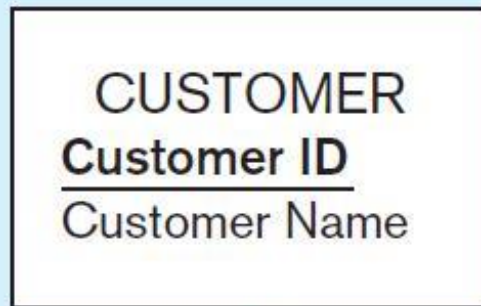
→ One-to-many  
relationship



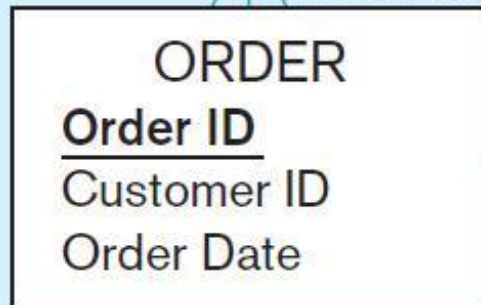
Has







Places



Is Placed By

Contains

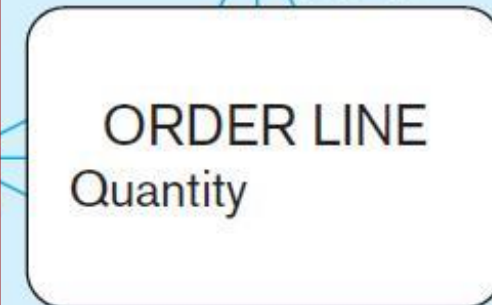
Is Contained In

One product can  
be in many  
order lines, each  
order line refers  
to a single  
product

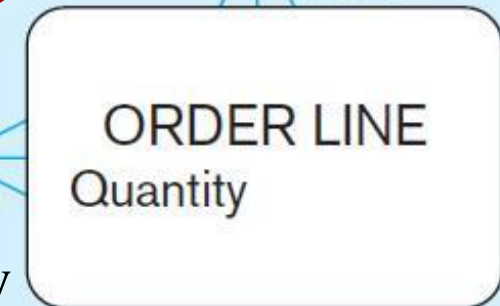
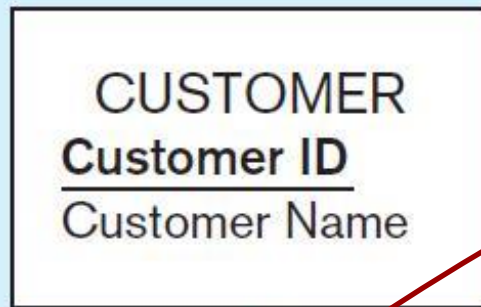
→ One-to-many  
relationship



Has



Is For



Places

Has

Is Placed By

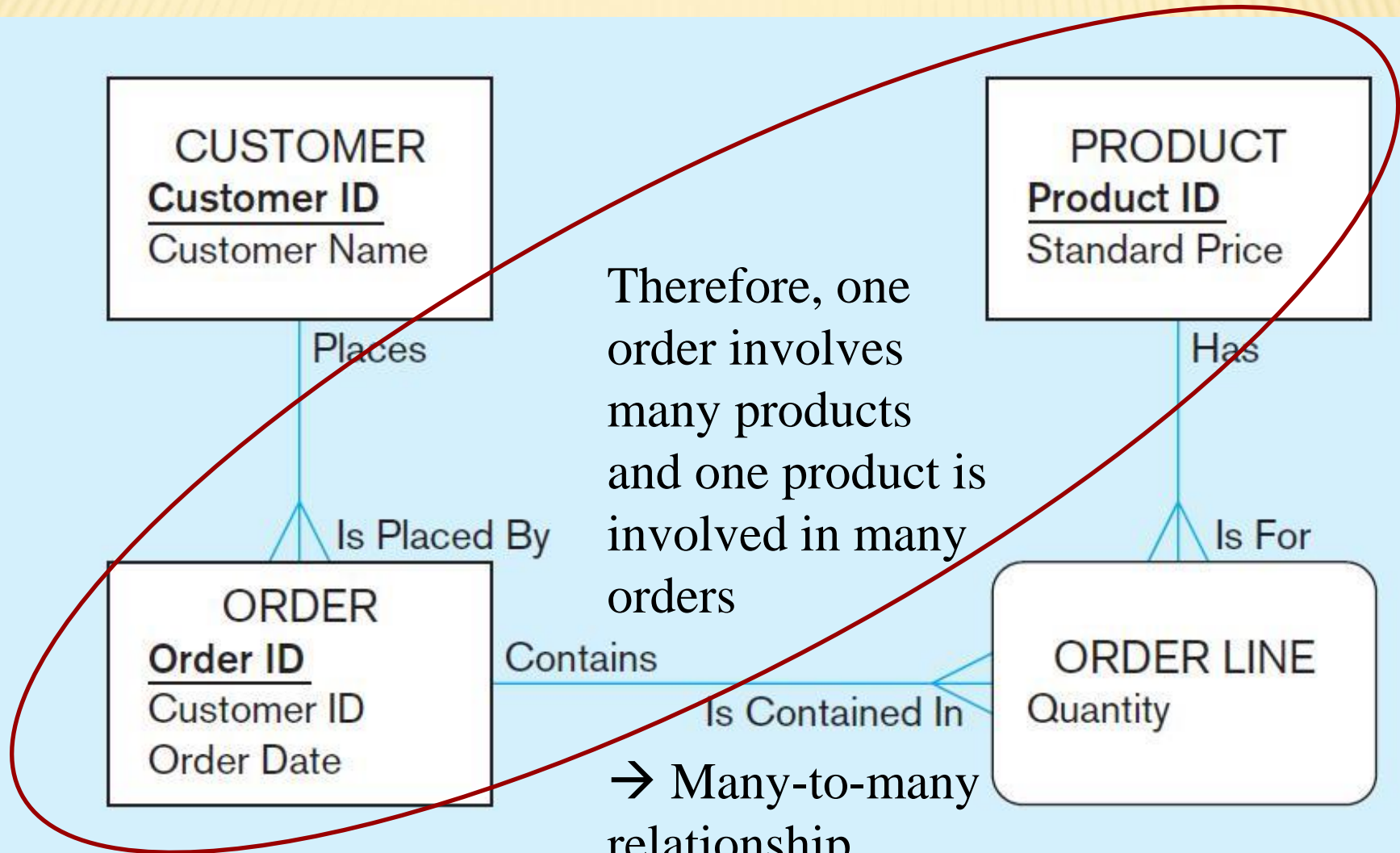
Is For

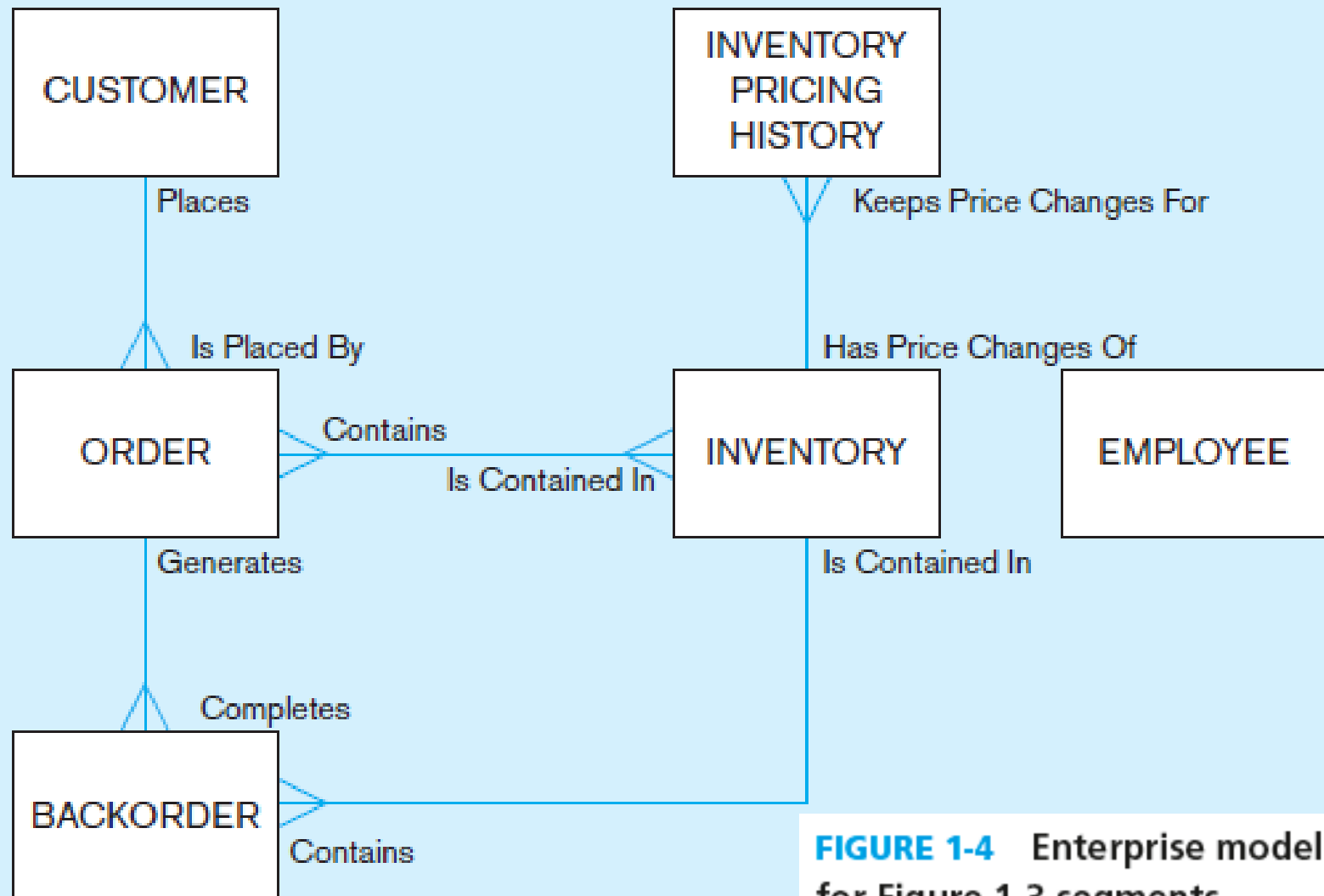
Contains

Is Contained In

Therefore, one  
order involves  
many products  
and one product is  
involved in many  
orders

→ Many-to-many  
relationship





**FIGURE 1-4** Enterprise model for Figure 1-3 segments



# ADVANTAGES OF THE DATABASE APPROACH

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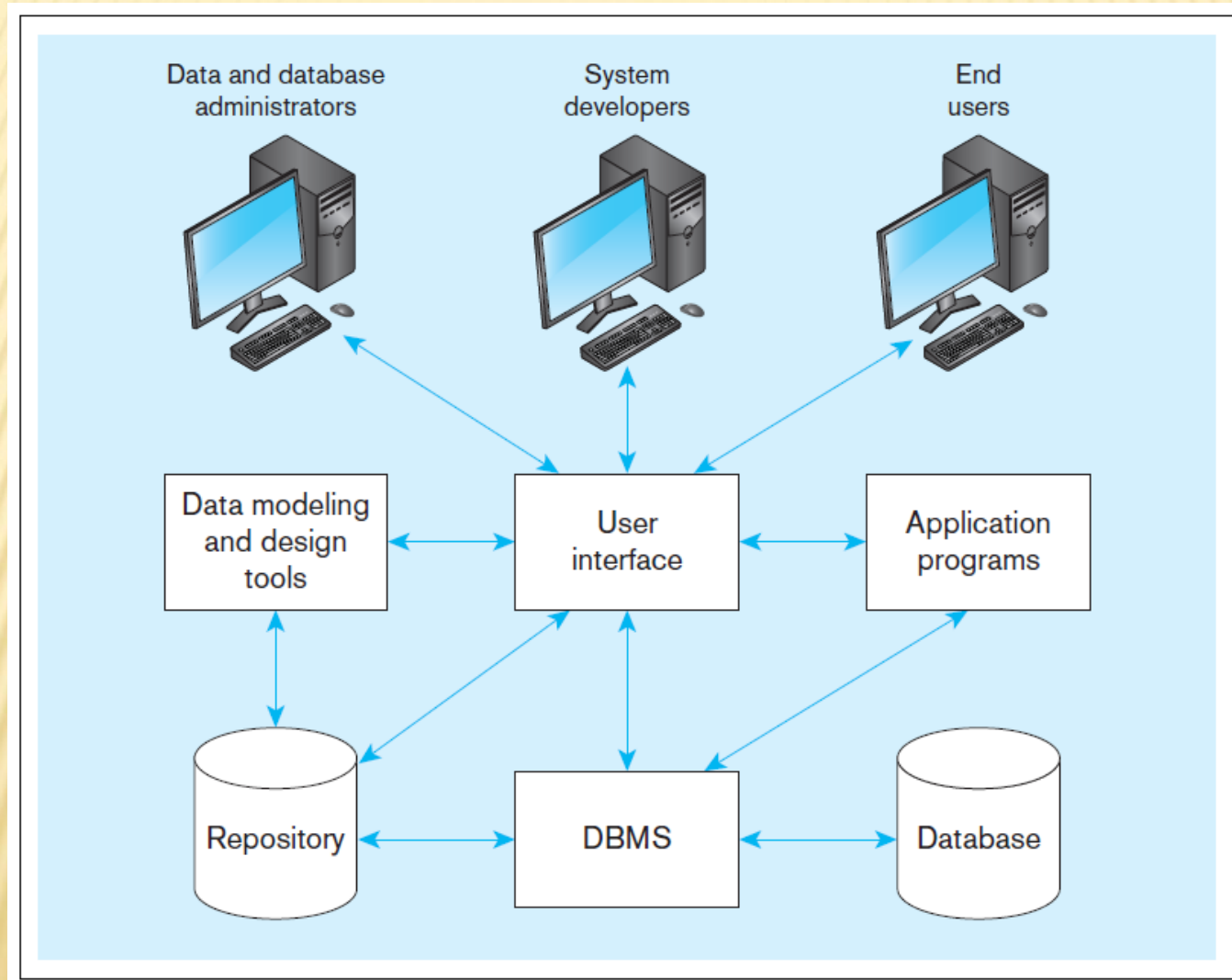
- ✗ Program-data independence
- ✗ Planned data redundancy
- ✗ Improved data consistency
- ✗ Improved data sharing
- ✗ Increased application development productivity
- ✗ Enforcement of standards
- ✗ Improved data quality
- ✗ Improved data accessibility and responsiveness
- ✗ Reduced program maintenance
- ✗ Improved decision support

# COSTS AND RISKS OF THE DATABASE APPROACH

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- ✗ New, specialized personnel
- ✗ Installation and management cost and complexity
- ✗ Conversion costs
- ✗ Need for explicit backup and recovery
- ✗ Organizational conflict

# Components of the database environment





# COMPONENTS OF THE DATABASE ENVIRONMENT

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- ✖ **Data modeling and design tools** – automated tools used to design databases and application programs
- ✖ **Repository** – centralized storehouse of metadata
- ✖ **Database Management System (DBMS)** – software for managing the database
- ✖ **Database** – storehouse of the data
- ✖ **Application Programs** – software using the data
- ✖ **User Interface** – text, graphical displays, menus, etc. for user
- ✖ **Data/Database Administrators** – personnel responsible for maintaining the database
- ✖ **System Developers** – personnel responsible for designing databases and software
- ✖ **End Users** – people who use the applications and databases



# ENTERPRISE DATA MODEL

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- ✖ First step in the database development process
- ✖ Specifies scope and general content
- ✖ Overall picture of organizational data at high level of abstraction
- ✖ Entity-relationship diagram
- ✖ Descriptions of entity types
- ✖ Relationships between entities
- ✖ Business rules

## Example business function-to-data entity matrix

Business Functions \ Data Entity Types	Customer	Product	Raw Material	Order	Work Center	Work Order	Invoice	Equipment	Employee
Business Planning	X	X						X	X
Product Development		X	X		X			X	
Materials Management		X	X	X	X	X		X	
Order Fulfillment	X	X	X	X	X	X	X	X	X
Order Shipment	X	X		X	X		X		X
Sales Summarization	X	X		X			X		X
Production Operations		X	X	X	X	X		X	X
Finance and Accounting	X	X	X	X	X		X	X	X

X = data entity is used within business function

# TWO APPROACHES TO DATABASE AND IS DEVELOPMENT

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## ✖ SDLC

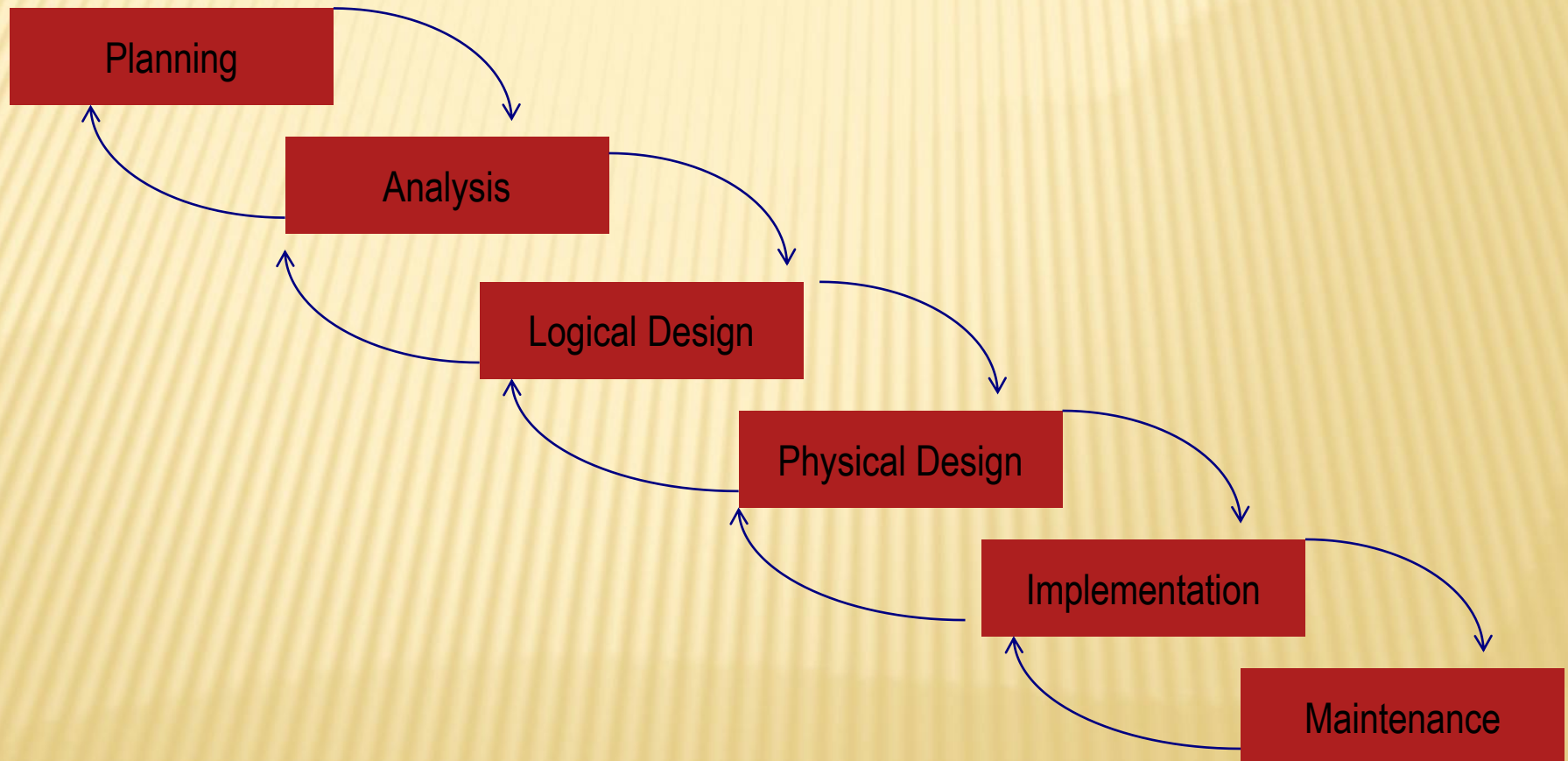
- + System Development Life Cycle
- + Detailed, well-planned development process
- + Time-consuming, but comprehensive
- + Long development cycle

## ✖ Prototyping

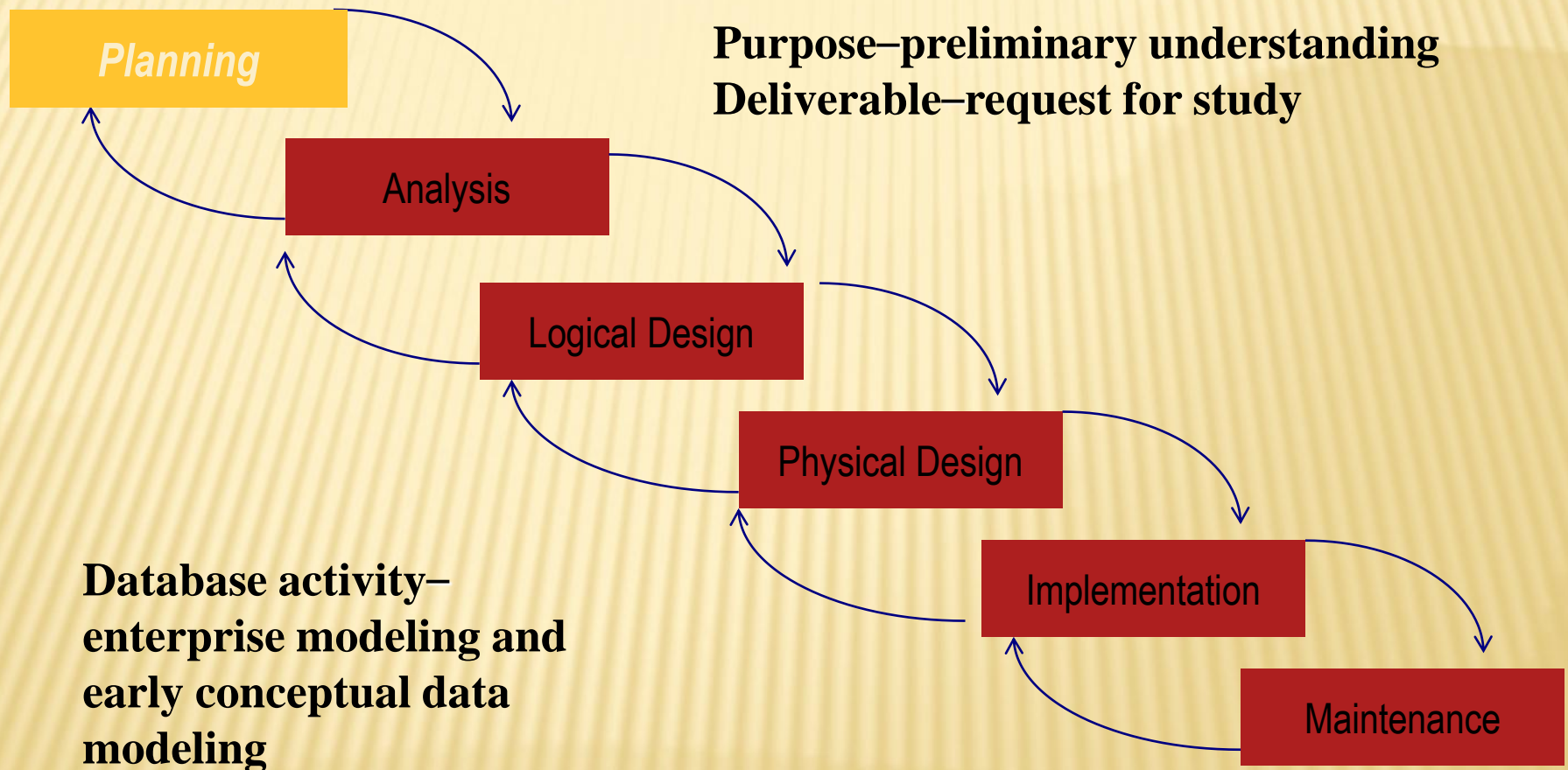
- + Rapid application development (RAD)
- + cursory attempt at conceptual data modeling
- + Define database during development of initial prototype
- + Repeat implementation and maintenance activities with new prototype versions



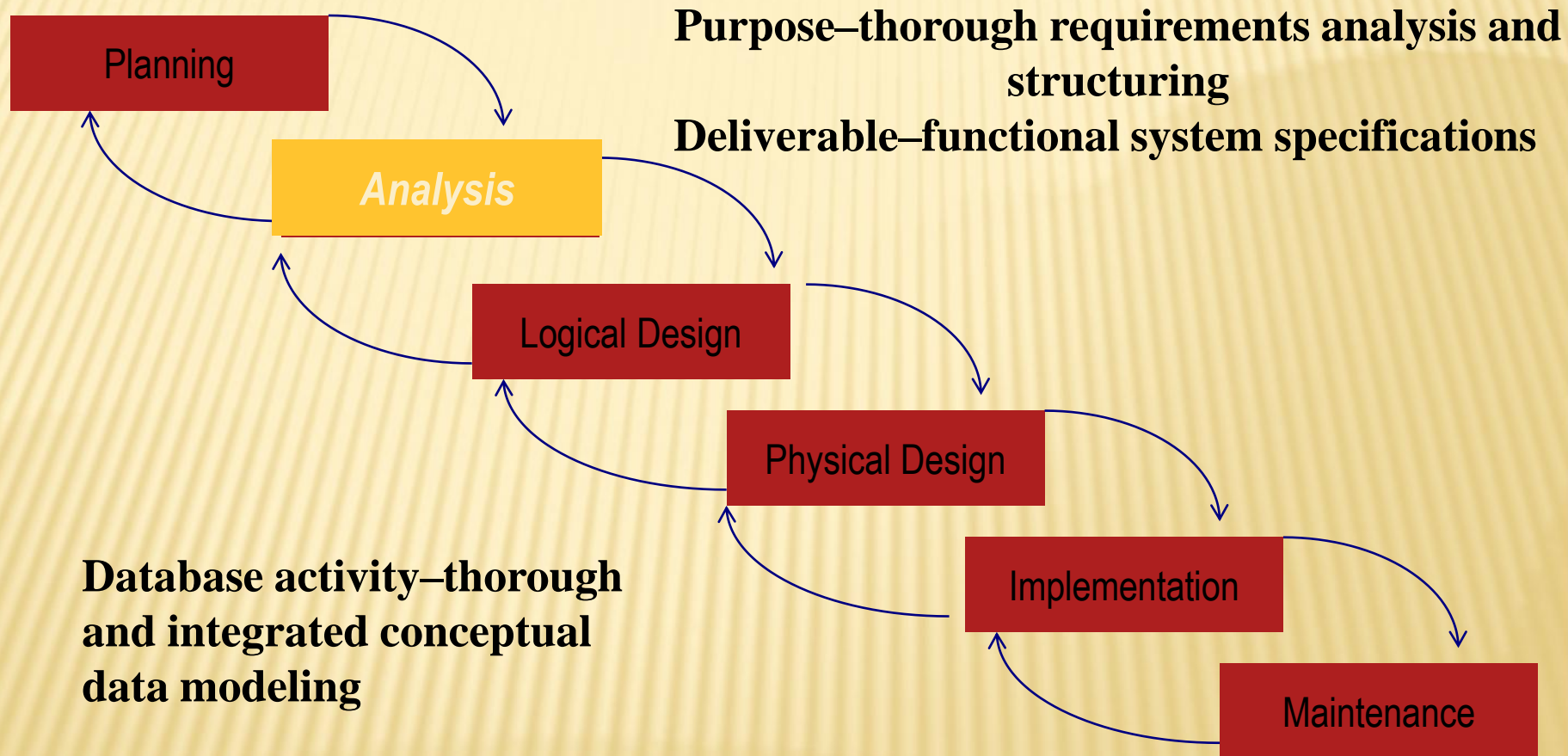
# SYSTEMS DEVELOPMENT LIFE CYCLE ("WATERFALL" APPROACH)



# SYSTEMS DEVELOPMENT LIFE CYCLE (CONT.)

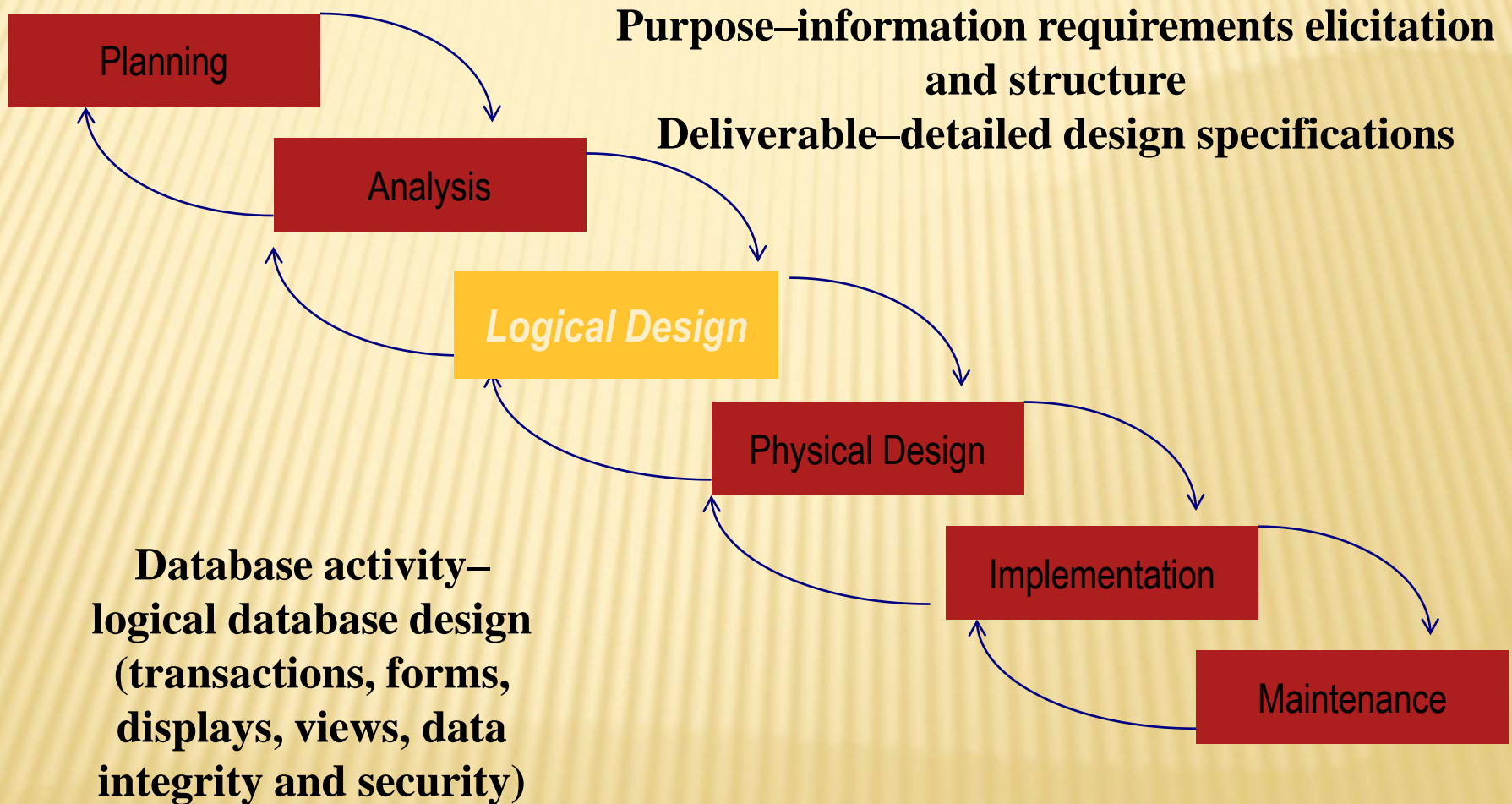


# SYSTEMS DEVELOPMENT LIFE CYCLE (CONT.)

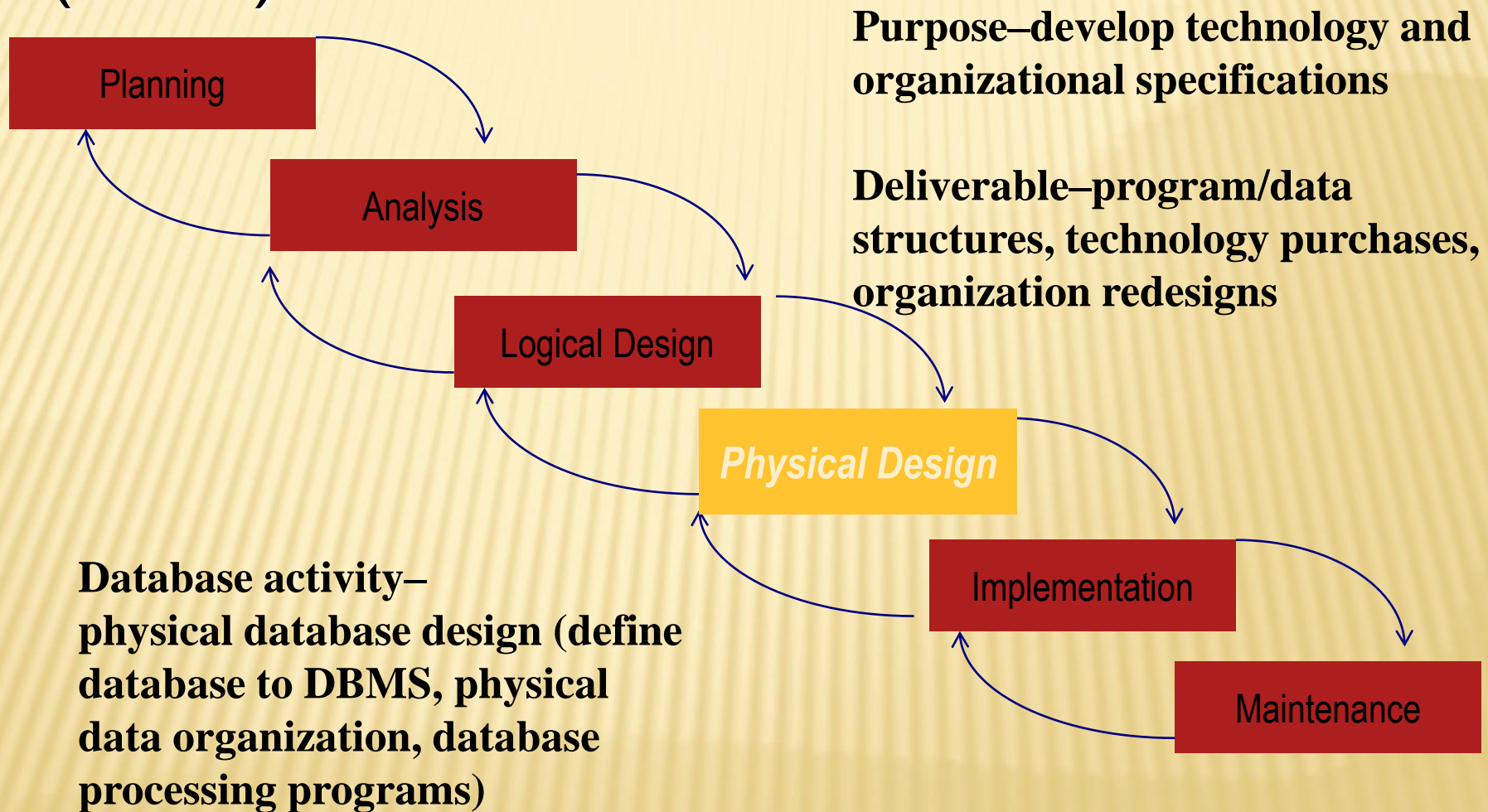




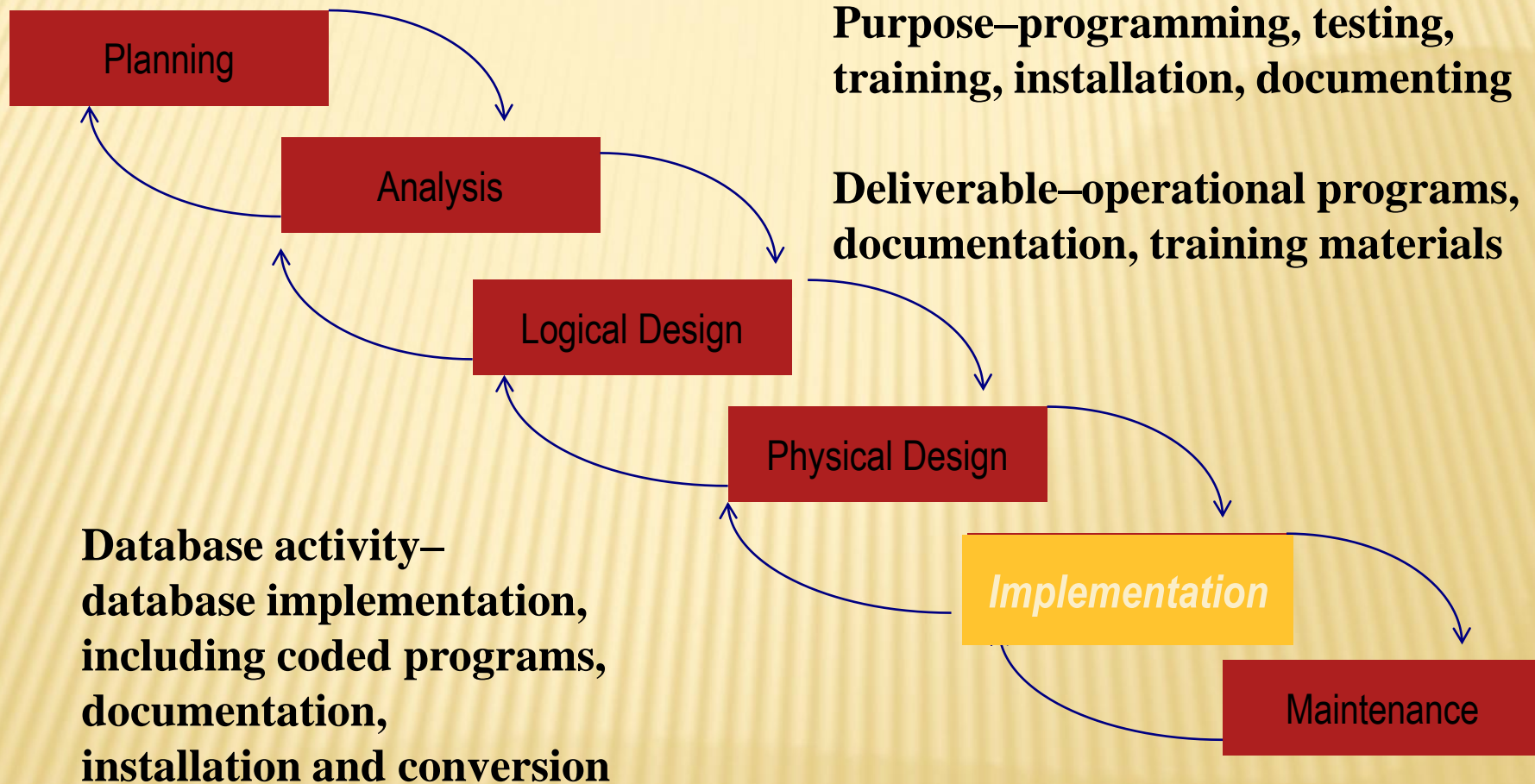
# SYSTEMS DEVELOPMENT LIFE CYCLE (CONT.)



# SYSTEMS DEVELOPMENT LIFE CYCLE (CONT.)

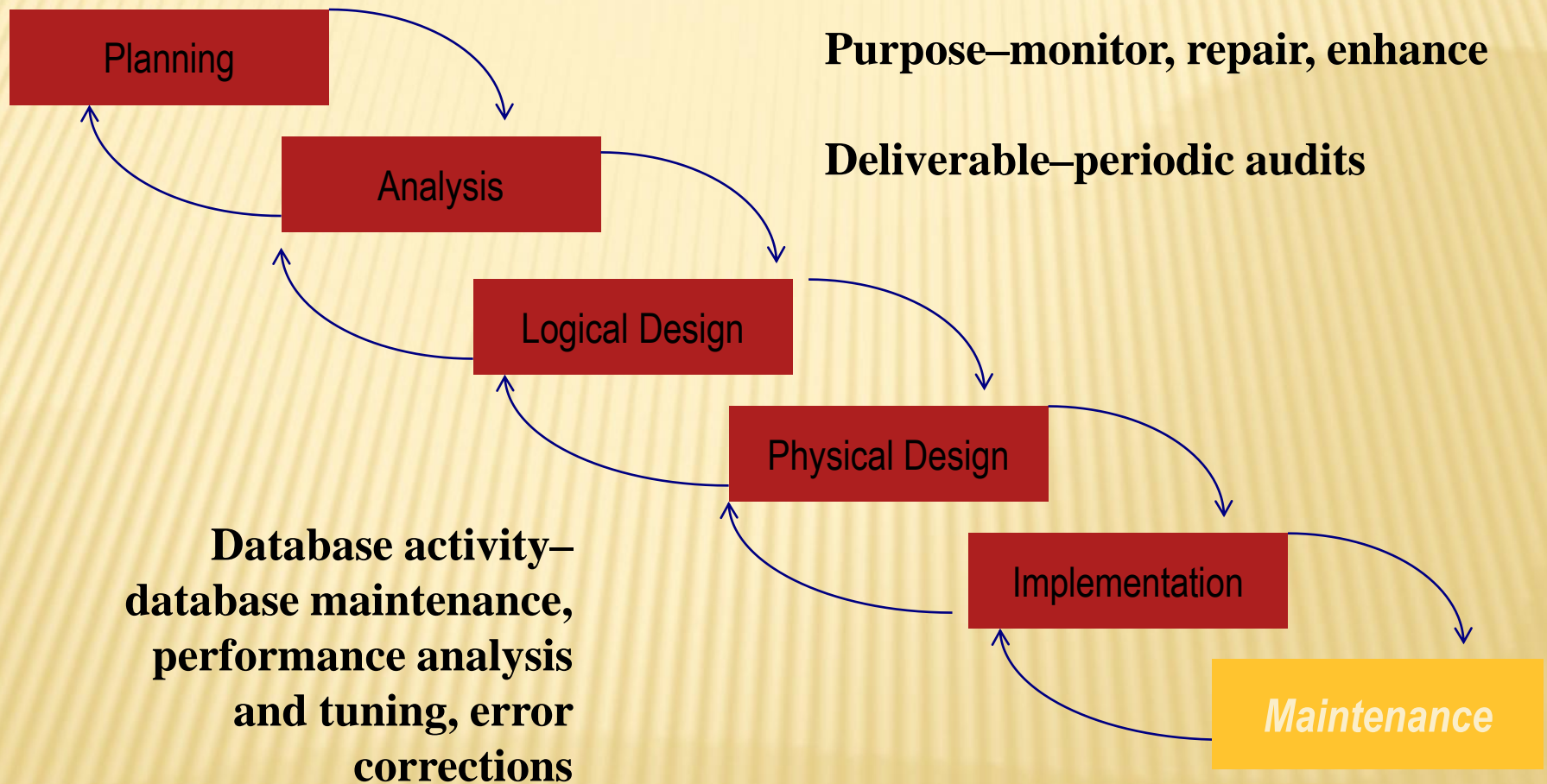


# SYSTEMS DEVELOPMENT LIFE CYCLE (CONT.)

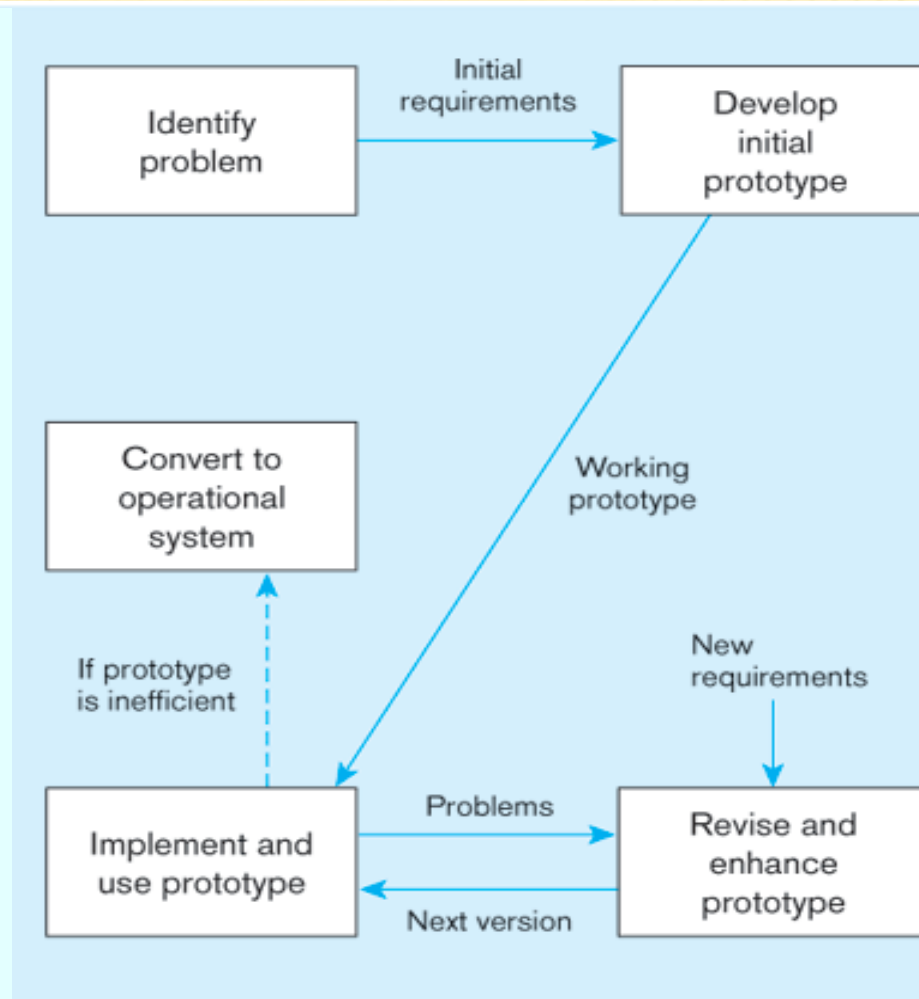




# SYSTEMS DEVELOPMENT LIFE CYCLE (CONT.)



# PROTOTYPING DATABASE METHODOLOGY

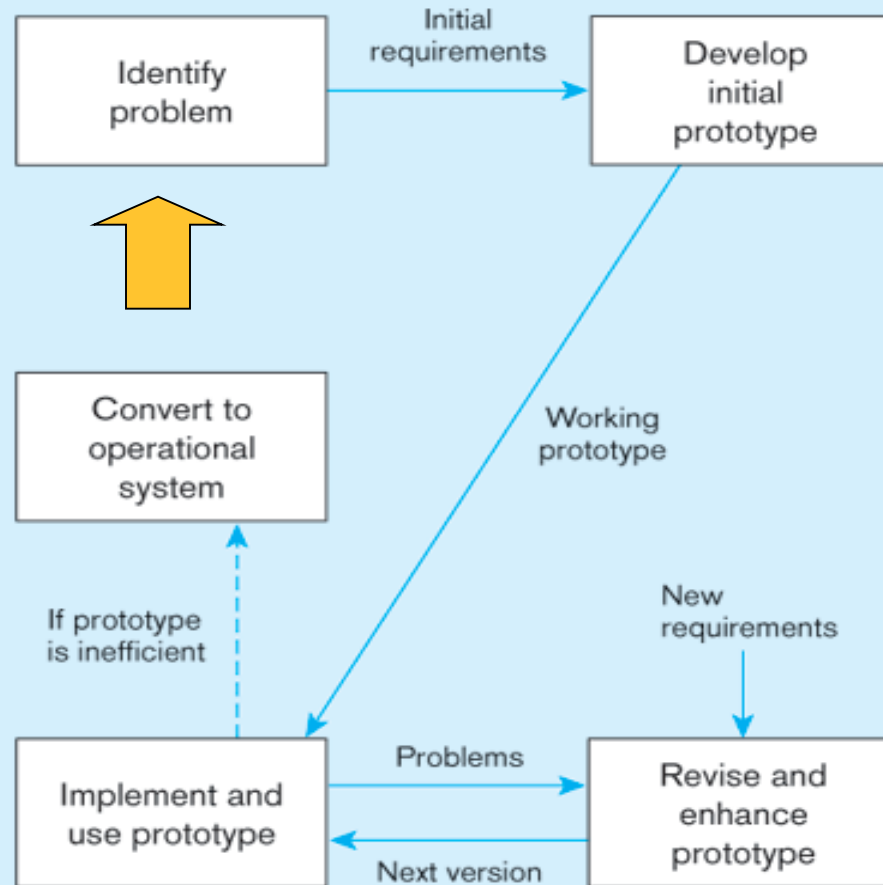


**Prototyping is a classical Rapid Application Development (RAD) approach**

# PROTOTYPING DATABASE METHODOLOGY

## Conceptual data modeling

- Analyze requirements
- Develop preliminary data model

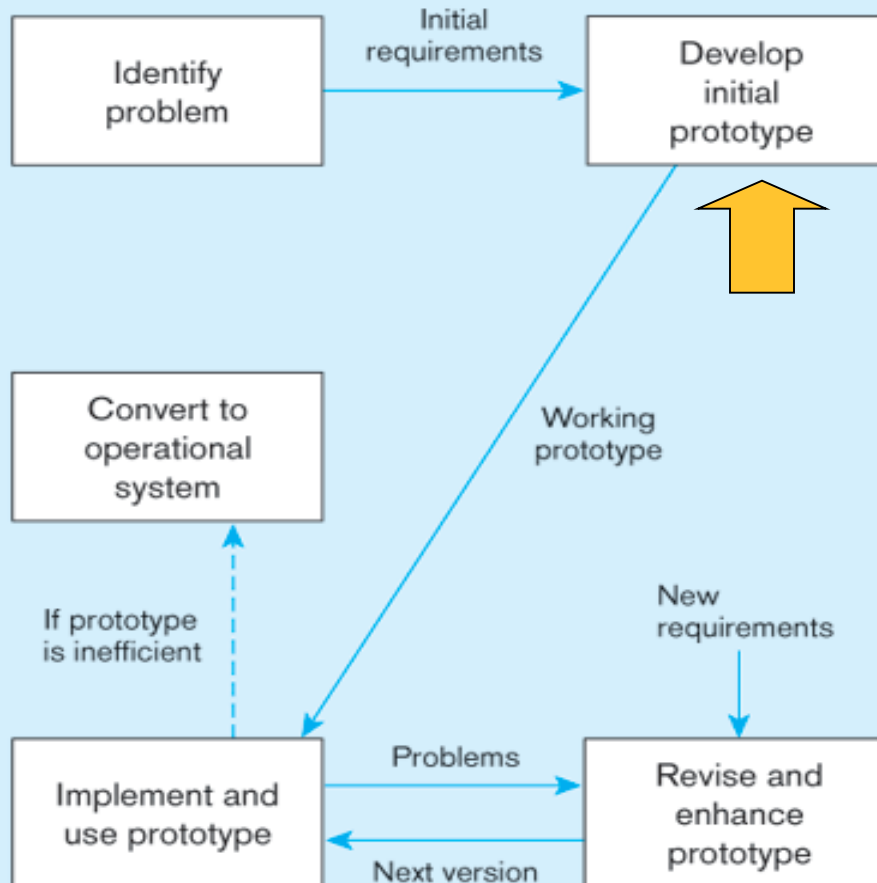




# PROTOTYPING DATABASE METHODOLOGY

## Conceptual data modeling

- Analyze requirements
- Develop preliminary data model



## Logical database design

- Analyze requirements in detail
- Integrate database views into conceptual data model

## Physical database design and definition

- Define new database contents to DBMS
- Decide on physical organization for new data
- Design database processing programs

## Database implementation

- Code database processing
- Install new database contents, usually from existing data sources

# PROTOTYPING DATABASE METHODOLOGY

## Conceptual data modeling

- Analyze requirements
- Develop preliminary data model

Identify problem

Initial requirements

Develop initial prototype

## Logical database design

- Analyze requirements in detail
- Integrate database views into conceptual data model

## Physical database design and definition

- Define new database contents to DBMS
- Decide on physical organization for new data
- Design database processing programs

## Database implementation

- Code database processing
- Install new database contents, usually from existing data sources

## Database maintenance

- Analyze database to ensure it meets application needs
- Fix errors in database

Convert to operational system

If prototype is inefficient

Implement and use prototype

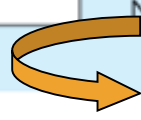
Problems

Working prototype

Revise and enhance prototype

Next version

New requirements



# PROTOTYPING DATABASE METHODOLOGY

## Conceptual data modeling

- Analyze requirements
- Develop preliminary data model

Identify problem

Initial requirements

Develop initial prototype

## Logical database design

- Analyze requirements in detail
- Integrate database views into conceptual data model

## Physical database design and definition

- Define new database contents to DBMS
- Decide on physical organization for new data
- Design database processing programs

## Database implementation

- Code database processing
- Install new database contents, usually from existing data sources

## Database maintenance

- Analyze database to ensure it meets application needs
- Fix errors in database

## Database maintenance

- Tune database for improved performance
- Fix errors in database

Convert to operational system

Working prototype

If prototype is inefficient

Implement and use prototype

Problems

Revise and enhance prototype

Next version

New requirements



# OTHER RAPID APPLICATION (RAD) APPROACHES

- ✖ **Agile** – emphasizes “individuals and interactions over processes and tools, working software over comprehensive documentation, customer collaboration over contract negotiation, and response to change over following a plan.” (The Agile Manifesto)
  
- ✖ **Examples of agile programming methodologies**
  - + eXtreme programming
  - + Scrum
  - + DSDM (Dynamic Systems Development Method) Consortium
  - + Feature-driven development

# DATABASE SCHEMA

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## ✕ External Schema

- + User Views
- + Subsets of Conceptual Schema
- + Can be determined from business-function/data entity matrices
- + DBA determines schema for different users

## ✕ Conceptual Schema

- + E-R models–

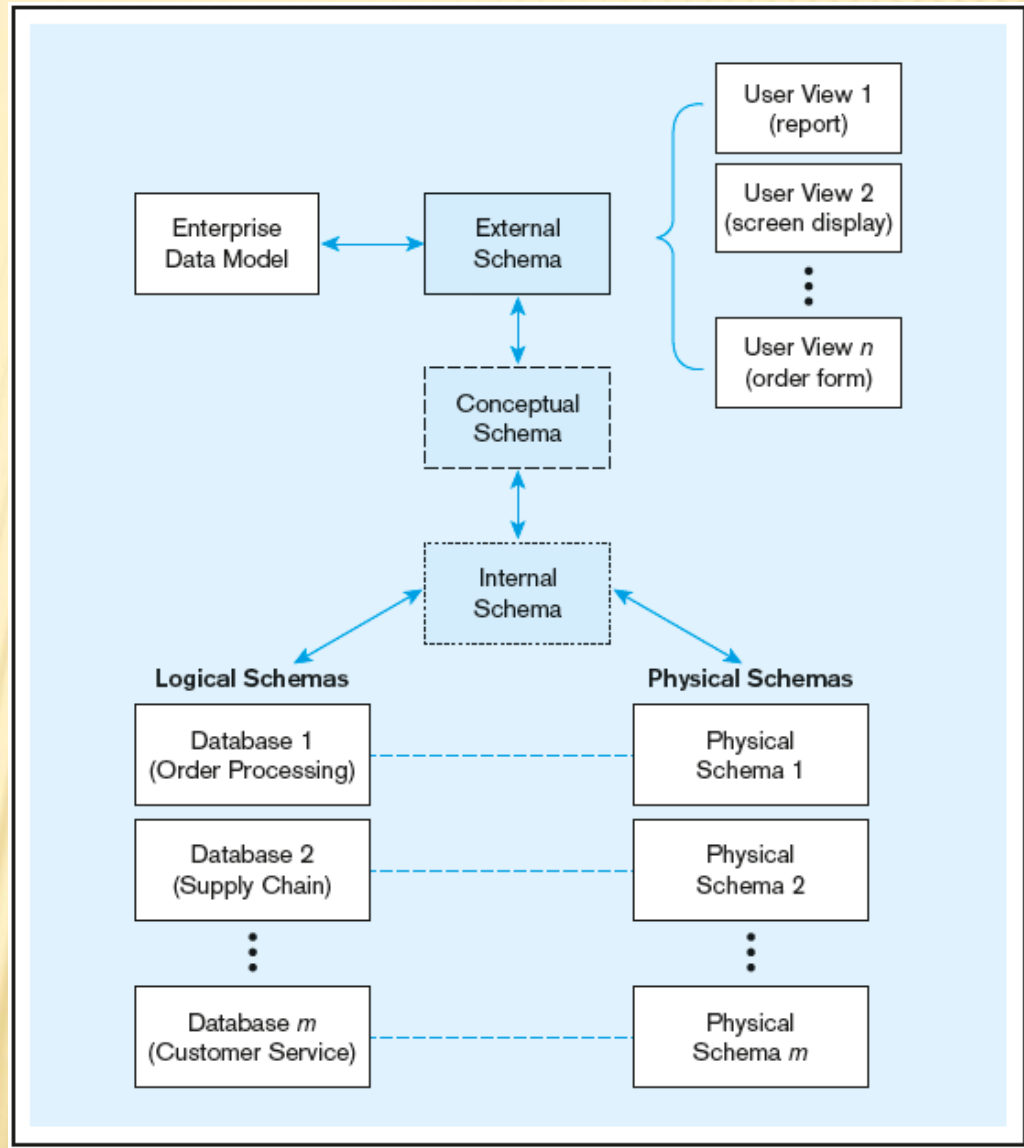
## + Internal Schema

- + Logical structures
- + Physical structures

# Three-schema architecture

Different people  
have different  
views of the  
database...these  
are the external  
schema

The internal  
schema is the  
underlying  
design and  
implementation





# MANAGING PEOPLE AND PROJECTS

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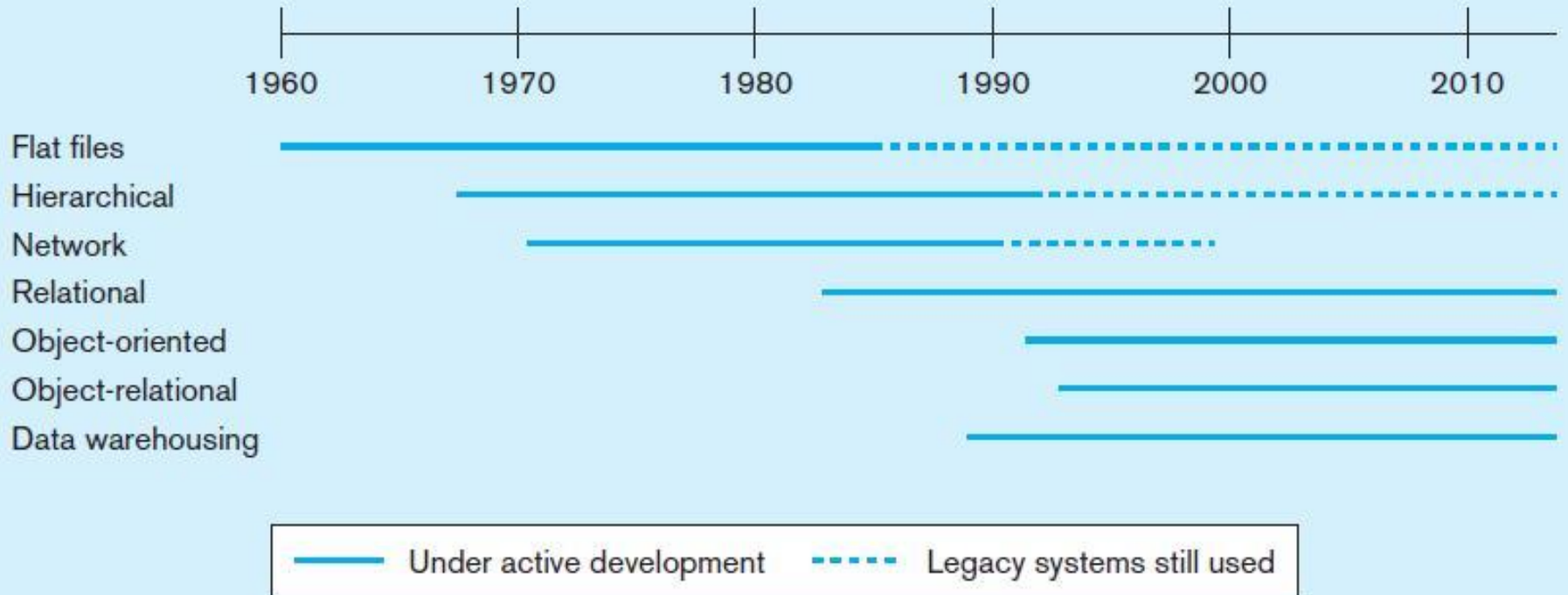
- ✖ Project—a planned undertaking of related activities to reach an objective that has a beginning and an end
- ✖ Initiated and planned in planning stage of SDLC
- ✖ Executed during analysis, design, and implementation
- ✖ Closed at the end of implementation

# MANAGING PEOPLE AND PROJECTS

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- ✗ Business analysts
- ✗ Systems analysts
- ✗ Database analysts and data modelers
- ✗ Users
- ✗ Programmers
- ✗ Database architects
- ✗ Data administrators
- ✗ Project managers
- ✗ Other technical experts

# Evolution of database technologies



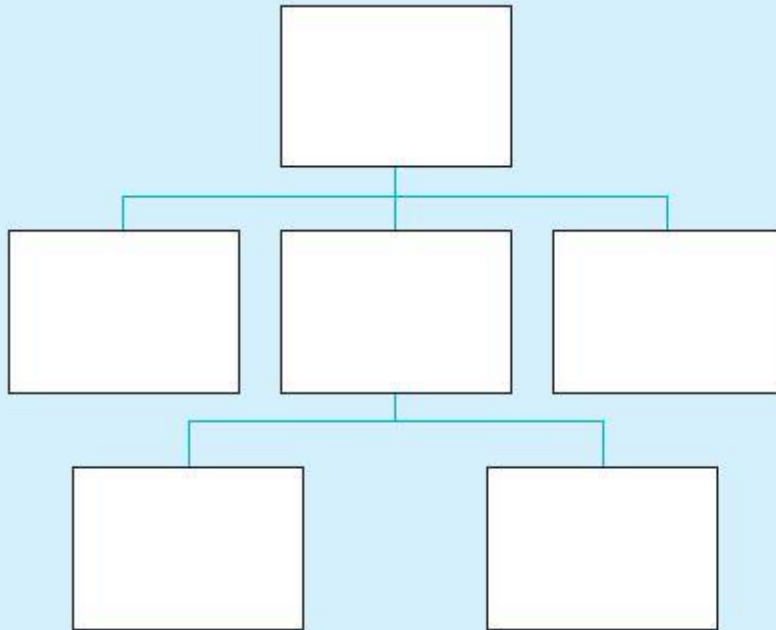


# EVOLUTION OF DATABASE SYSTEMS

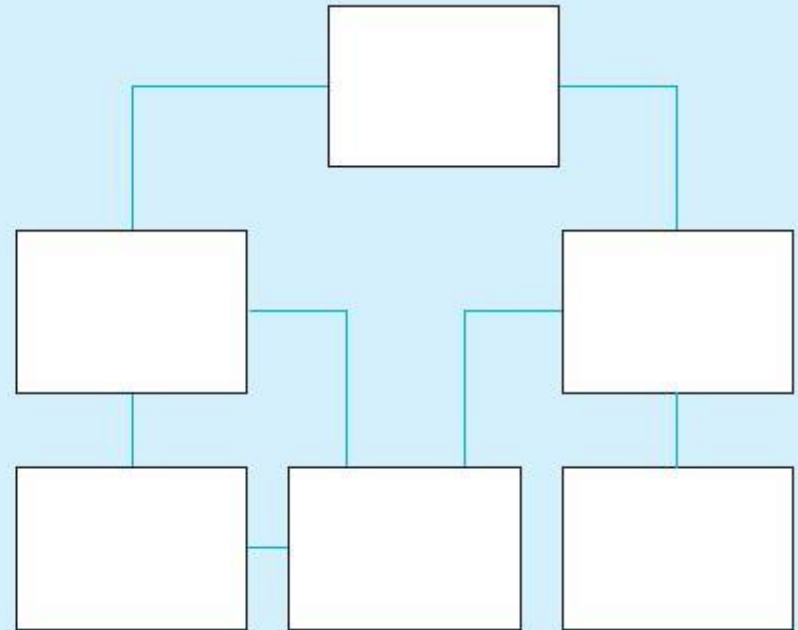
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- ✗ Driven by four main objectives:
  - ✗ Need for program-data independence → reduced maintenance
  - ✗ Desire to manage more complex data types and structures
  - ✗ Ease of data access for less technical personnel
  - ✗ Need for more powerful decision support platforms

# Database architectures



Hierarchical database model



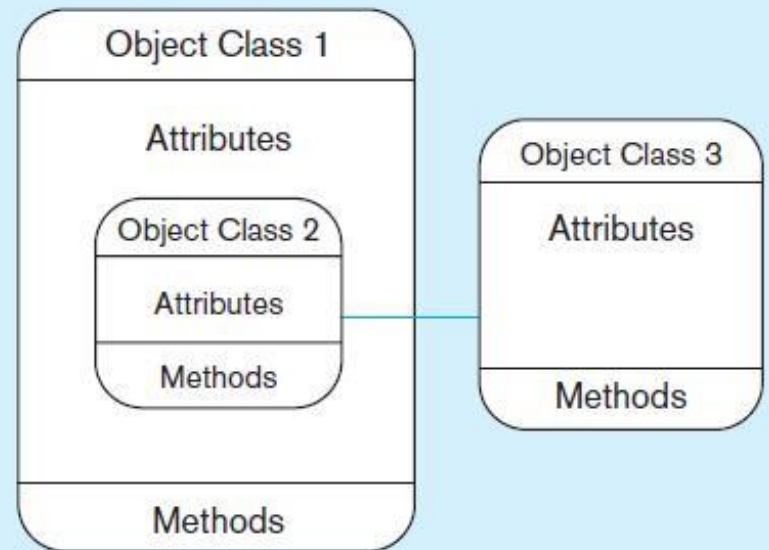
Network database model

# Database architectures (cont.)

RELATION 1 (PRIMARY KEY, ATTRIBUTES...)

RELATION 2 (PRIMARY KEY, FOREIGN KEY, ATTRIBUTES...)

Relational database model



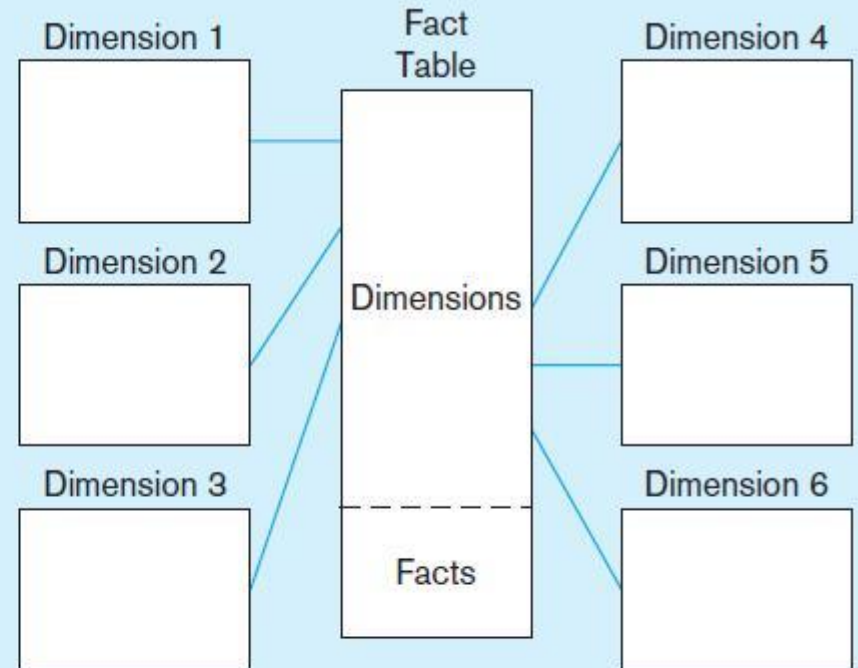
Object-oriented database model



## Database architectures (cont.)



Multidimensional database model –  
multidimensional cube view



Multidimensional database model –  
star-schema view

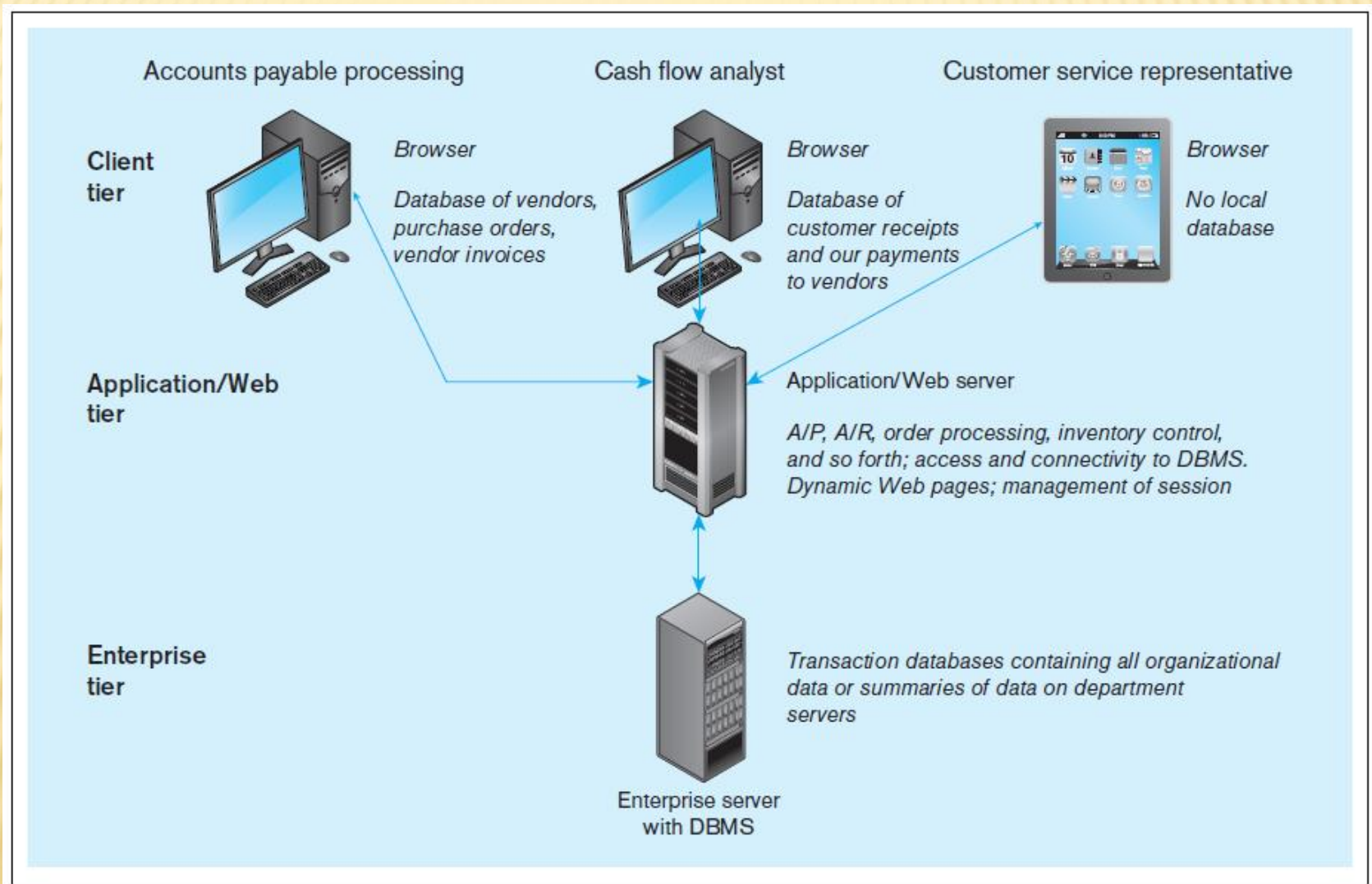
# THE RANGE OF DATABASE APPLICATIONS

- ✖ Personal databases
- ✖ Two-tier and N-tier Client/Server databases
- ✖ Enterprise applications
  - + Enterprise resource planning (ERP) systems
  - + Data warehousing implementations

**TABLE 1-5** Summary of Database Applications

Type of Database / Application	Typical Number of Users	Typical Size of Database
Personal	1	Megabytes
Multitier Client/Server	100–1000	Gigabytes
Enterprise resource planning	>100	Gigabytes–terabytes
Data warehousing	>100	Terabytes–petabytes

# Architecture



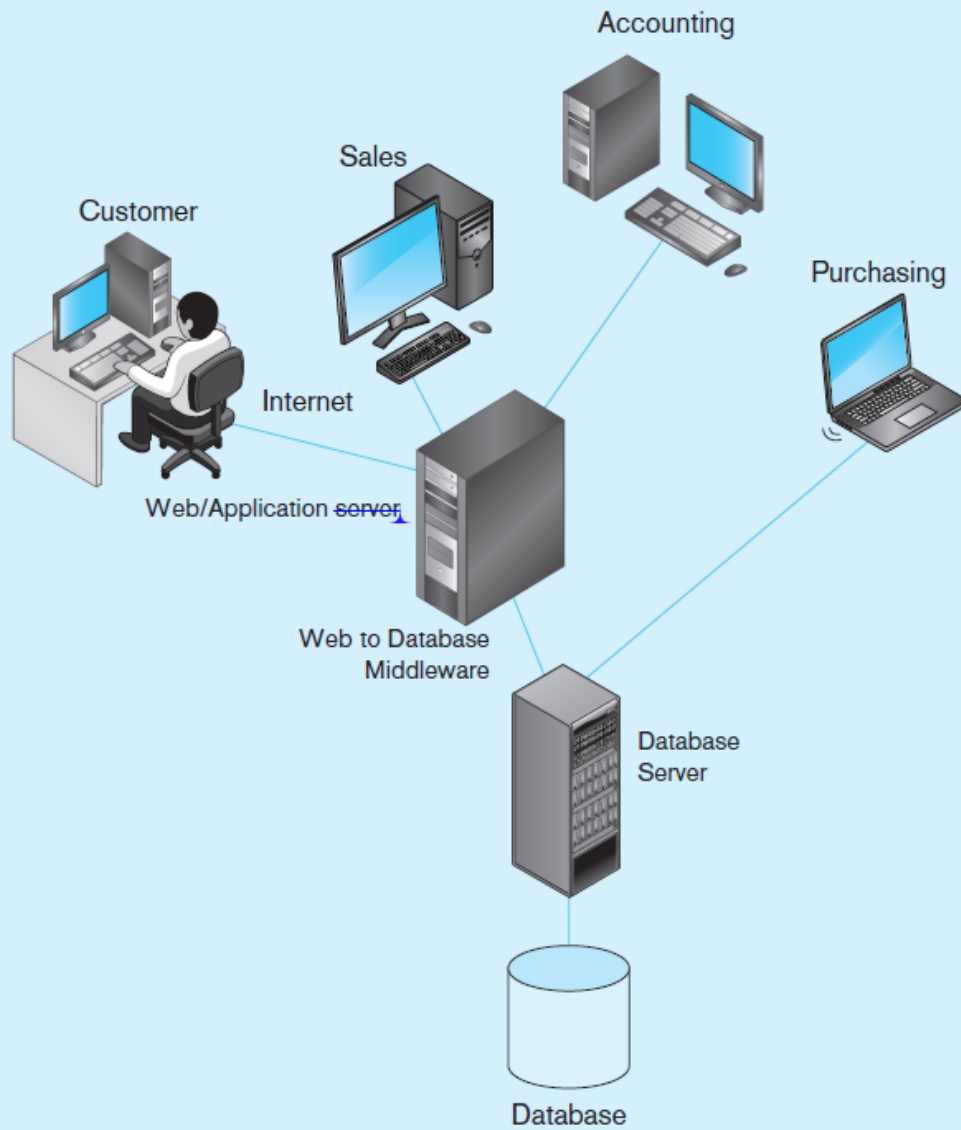


# ENTERPRISE DATABASE APPLICATIONS

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- ✖ Enterprise Resource Planning (ERP)
  - + Integrate all enterprise functions (manufacturing, finance, sales, marketing, inventory, accounting, human resources)
- ✖ Data Warehouse
  - + Integrated decision support system derived from various operational databases

## Computer System for Pine Valley Furniture Company



# Project data model for Home Office product line marketing support system

