

SEN361 Computer Organization

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(9th Week)



Outline

3. The Central Processing Unit

3.1 Instruction Sets: Characteristics and Functions

3.2 Instruction Sets: Addressing Modes and Formats

3.3 Processor Structure and Function

3.4 Reduced Instruction Set Computers

3.5 Instruction-Level Parallelism and Superscalar Processors

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3.4 Reduced Instruction Set Computers (RISC)



3.4 Outline

- Instruction Execution Characteristics
- The Use of a Large Register File
- Compiler-Based Register Optimization
- Reduced Instruction Set Architecture
- RISC Pipelining
- MIPS R4000
- Sparc
- RISC Versus CISC Controversy



Major advances since the birth of the computer

- The family concept
- Microprogrammed control unit
- Cache memory
- Pipelining
- Multiple processors
- Multiple processors
- Reduced instruction set computer (RISC) architecture

Characteristics of Some CISCs, RISCs, and Superscalar Processors

Characteristic	Complex Instruction Set (CISC) Computer			Reduced Instruction Set (RISC) Computer		Superscalar		
	IBM 370/168	VAX 11/780	Intel 80486	SPARC	MIPS R4000	PowerPC	Ultra SPARC	MIPS R10000
Year developed	1973	1978	1989	1987	1991	1993	1996	1996
Number of instructions	208	303	235	69	94	225		
Instruction size (bytes)	2-6	2-57	1-11	4	4	4	4	4
Addressing modes	4	22	11	1	1	2	1	1
Number of general-purpose registers	16	16	8	40 - 520	32	32	40 - 520	32
Control memory size (Kbits)	420	480	246	—	—	—	—	—
Cache size (KBytes)	64	64	8	32	128	16-32	32	64

Characteristics of Some CISCs, RISCs, and Superscalar Processors

Instruction Execution Characteristics

High-level languages (HLLs)

- Allow the programmer to express algorithms more concisely
- Allow the compiler to take care of details that are not important in the programmer's expression of algorithms
- Often support naturally the use of structured programming and/or object-oriented design

Execution sequencing

- Determines the control and pipeline organization

Semantic gap

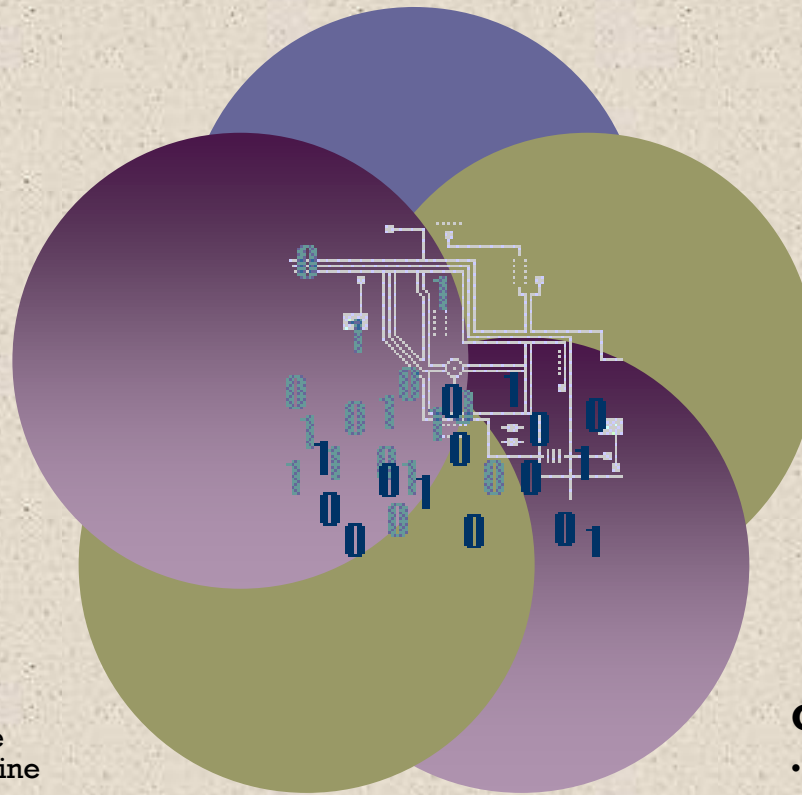
- The difference between the operations provided in HLLs and those provided in computer architecture

Operands used

- The types of operands and the frequency of their use determine the memory organization for storing them and the addressing modes for accessing them

Operations performed

- Determine the functions to be performed by the processor and its interaction with memory



Weighted Relative Dynamic Frequency of HLL Operations

	Dynamic Occurrence		Machine-Instruction Weighted		Memory-Reference Weighted	
	Pascal	C	Pascal	C	Pascal	C
ASSIGN	45%	38%	13%	13%	14%	15%
LOOP	5%	3%	42%	32%	33%	26%
CALL	15%	12%	31%	33%	44%	45%
IF	29%	43%	11%	21%	7%	13%
GOTO	—	3%	—	—	—	—
OTHER	6%	1%	3%	1%	2%	1%

Weighted Relative Dynamic Frequency of HLL Operations [PATT82a]



Operands

	Pascal	C	Average
Integer Constant	16%	23%	20%
Scalar Variable	58%	53%	55%
Array/Structure	26%	24%	25%

Dynamic Percentage of Operands



Procedure Arguments and Local Scalar Variables

Percentage of Executed Procedure Calls With	Compiler, Interpreter, and Typesetter	Small Nonnumeric Programs
>3 arguments	0–7%	0–5%
>5 arguments	0–3%	0%
>8 words of arguments and local scalars	1–20%	0–6%
>12 words of arguments and local scalars	1–6%	0–3%



Implications



- HLLs can best be supported by optimizing performance of the most time-consuming features of typical HLL programs
- Three elements characterize RISC architectures:
 - Use a large number of registers or use a compiler to optimize register usage
 - Careful attention needs to be paid to the design of instruction pipelines
 - Instructions should have predictable costs and be consistent with a high-performance implementation



The Use of a Large Register File

Software Solution

- Requires compiler to allocate registers
- Allocates based on most used variables in a given time
- Requires sophisticated program analysis

Hardware Solution

- More registers
- Thus more variables will be in registers

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Overlapping Register Windows

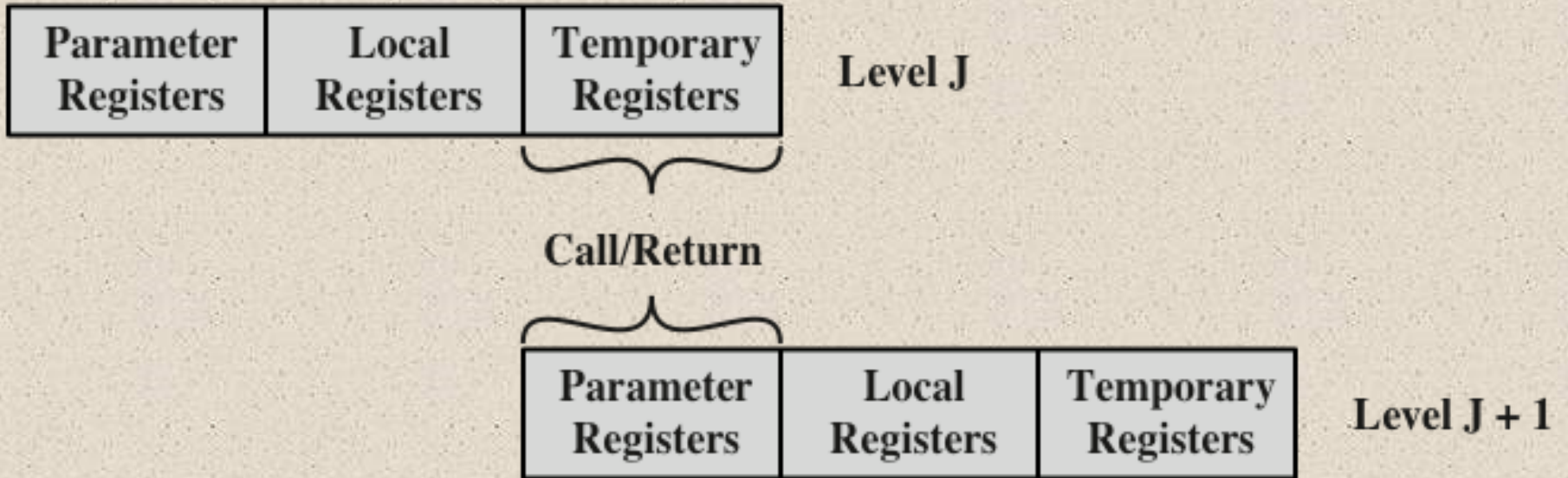


Figure 15.1 Overlapping Register Windows

Circular Buffer Organization of Overlapped Windows

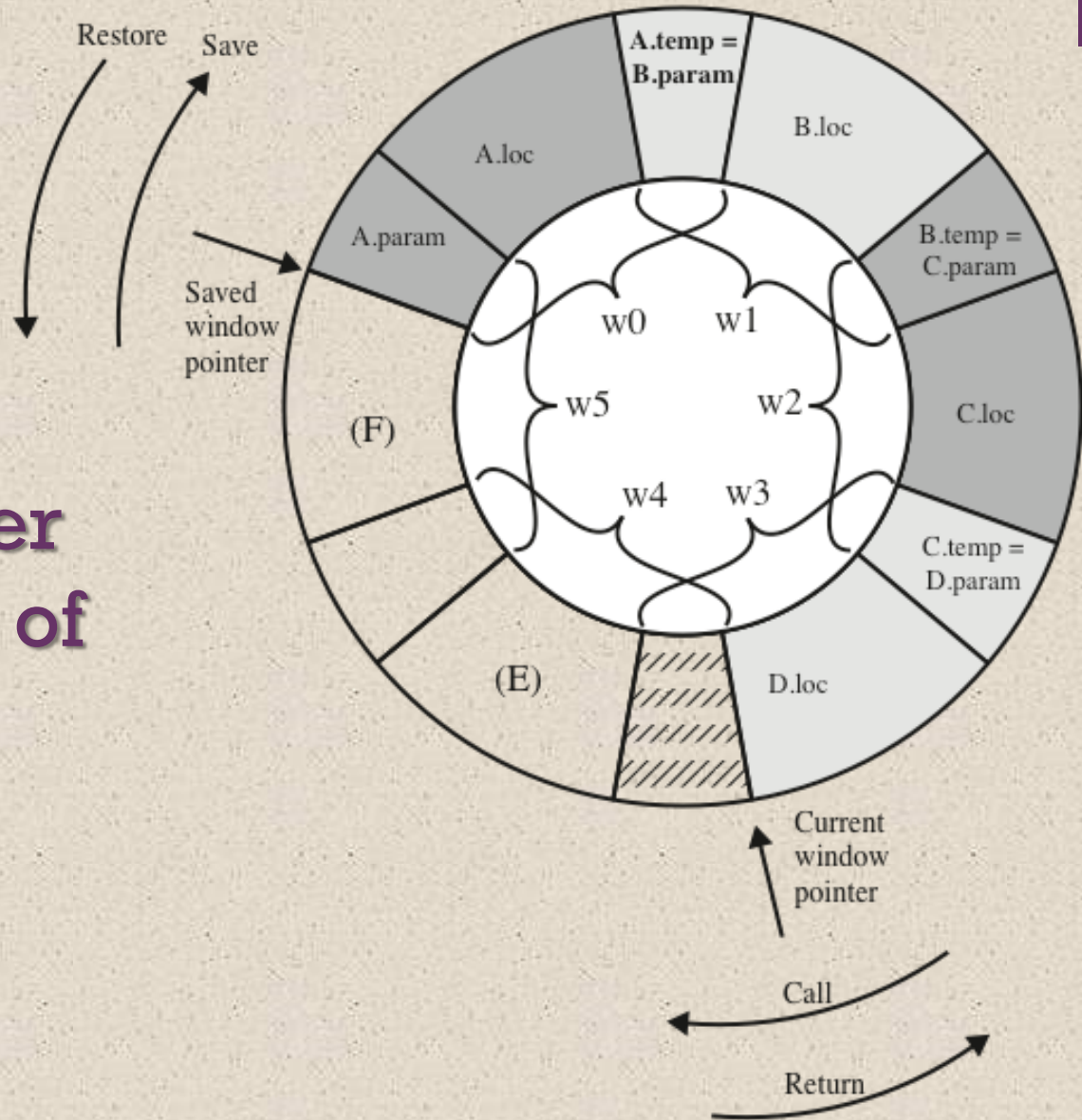


Figure 15.2 Circular-Buffer Organization of Overlapped Windows



Global Variables



- Variables declared as global in an HLL can be assigned memory locations by the compiler and all machine instructions that reference these variables will use memory reference operands
 - However, for frequently accessed global variables this scheme is inefficient
- Alternative is to incorporate a set of global registers in the processor
 - These registers would be fixed in number and available to all procedures
 - A unified numbering scheme can be used to simplify the instruction format
- There is an increased hardware burden to accommodate the split in register addressing
- In addition, the linker must decide which global variables should be assigned to registers



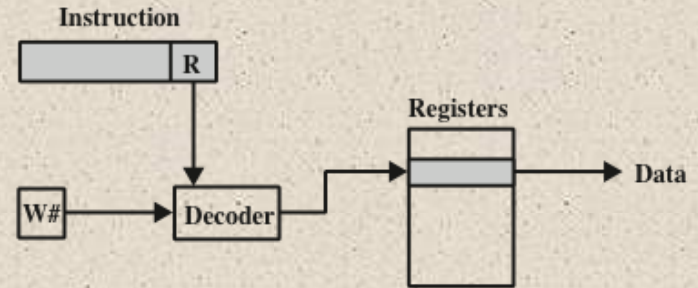
Characteristics of Large-Register-File and Cache Organizations

Large Register File	Cache
All local scalars	Recently-used local scalars
Individual variables	Blocks of memory
Compiler-assigned global variables	Recently-used global variables
Save/Restore based on procedure nesting depth	Save/Restore based on cache replacement algorithm
Register addressing	Memory addressing
Multiple operands addressed and accessed in one cycle	One operand addressed and accessed per cycle

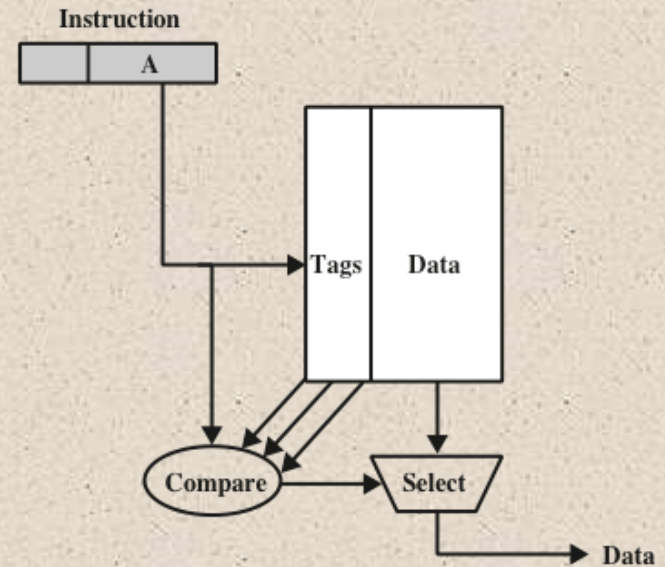
Characteristics of Large-Register-File and Cache Organizations



Referencing a Scalar



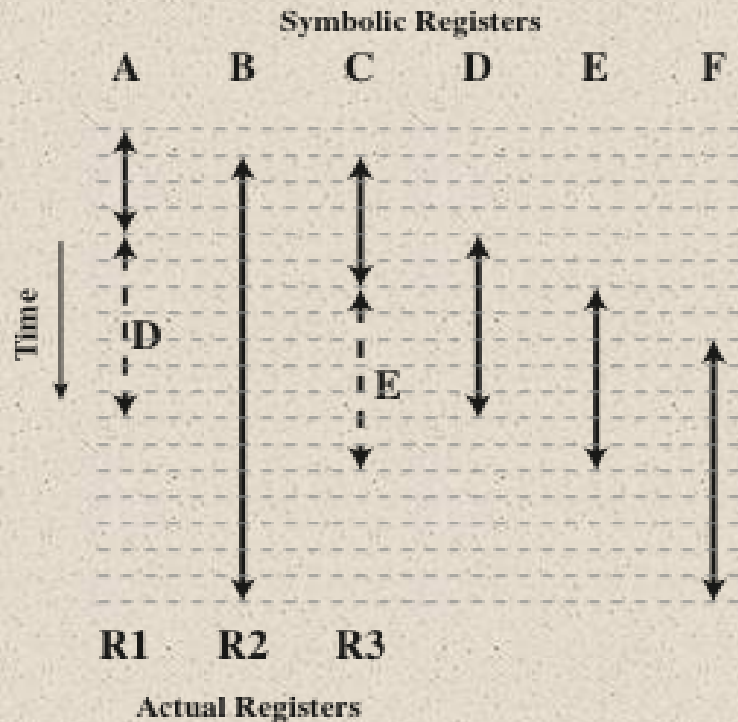
(a) Windows-based register file



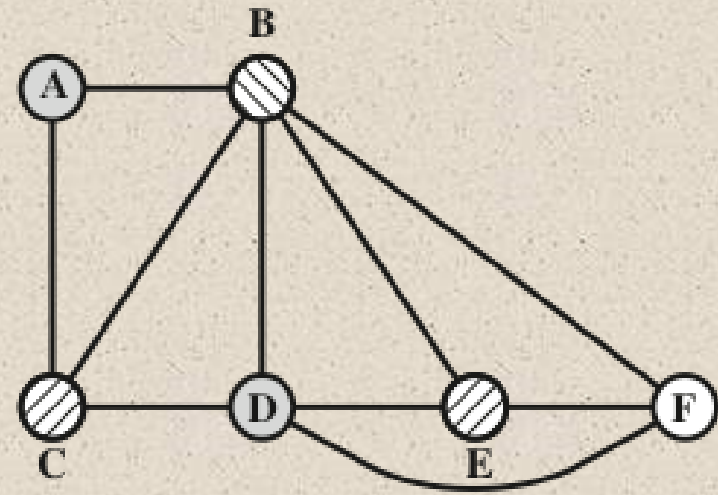
(b) Cache

Figure 15.3 Referencing a Scalar

Graph Coloring Approach



(a) Time sequence of active use of registers



(b) Register interference graph

Figure 15.4 Graph Coloring Approach



Why CISC ?

(Complex Instruction Set Computer)

- There is a trend to richer instruction sets which include a larger and more complex number of instructions
- Two principal reasons for this trend:
 - A desire to simplify compilers
 - A desire to improve performance
- There are two advantages to smaller programs:
 - The program takes up less memory
 - Should improve performance
 - Fewer instructions means fewer instruction bytes to be fetched
 - In a paging environment smaller programs occupy fewer pages, reducing page faults
 - More instructions fit in cache(s)



Code Size Relative to RISC I

	[PATT82a] 11 C Programs	[KATE83] 12 C Programs	[HEAT84] 5 C Programs
RISC I	1.0	1.0	1.0
VAX-11/780	0.8	0.67	
M68000	0.9		0.9
Z8002	1.2		1.12
PDP-11/70	0.9	0.71	

Code Size Relative to RISC I

Characteristics of Reduced Instruction Set Architectures



One machine instruction per machine cycle

- *Machine cycle* --- the time it takes to fetch two operands from registers, perform an ALU operation, and store the result in a register

Register-to-register operations

- Only simple LOAD and STORE operations accessing memory
- This simplifies the instruction set and therefore the control unit

Simple addressing modes

- Simplifies the instruction set and the control unit

Simple instruction formats

- Generally only one or a few formats are used
- Instruction length is fixed and aligned on word boundaries
- Opcode decoding and register operand accessing can occur simultaneously

Comparison of Register-to-Register and Memory-to-Memory Approaches

8	16	16	16
Add	B	C	A

Memory to memory

I = 56, D = 96, M = 152

8	4	16	
Load	RB	B	
Load	RC	B	
Add	R A	RB	RC
Store	R A	A	

Register to memory

I = 104, D = 96, M = 200

(a) $A \leftarrow B + C$

8	16	16	16
Add	B	C	A
Add	A	C	B
Sub	B	D	D

Memory to memory

I = 168, D = 288, M = 456

8	4	4	4
Add	RA	RB	RC
Add	RB	RA	RC
Sub	RD	RD	RB

Register to register

I = 60, D = 0, M = 60

(b) $A \leftarrow B + C$; $B \leftarrow A + C$; $D \leftarrow D - B$

I = number of bytes occupied by executed instructions

D = number of bytes occupied by data

M = total memory traffic = I + D

Figure 15.5 Two Comparisons of Register-to-Register and Memory-to-Memory Approaches

Characteristics of Some Processors

Processor	Number of instruction sizes	Max instruction size in bytes	Number of addressing modes	Indirect addressing	Load/store combined with arithmetic	Max number of memory operands	Unaligned addressing allowed	Max Number of MMU uses	Number of bits for integer register specifier	Number of bits for FP register specifier
AMD29000	1	4	1	no	no	1	no	1	8	3 ^a
MIPS R2000	1	4	1	no	no	1	no	1	5	4
SPARC	1	4	2	no	no	1	no	1	5	4
MC88000	1	4	3	no	no	1	no	1	5	4
HP PA	1	4	10 ^a	no	no	1	no	1	5	4
IBM RT/PC	2 ^a	4	1	no	no	1	no	1	4 ^a	3 ^a
IBM RS/6000	1	4	4	no	no	1	yes	1	5	5
Intel i860	1	4	4	no	no	1	no	1	5	4
IBM 3090	4	8	2 ^b	no ^b	yes	2	yes	4	4	2
Intel 80486	12	12	15	no ^b	yes	2	yes	4	3	3
NSC 32016	21	21	23	yes	yes	2	yes	4	3	3
MC68040	11	22	44	yes	yes	2	yes	8	4	3
VAX	56	56	22	yes	yes	6	yes	24	4	0
Clipper	4 ^a	8 ^a	9 ^a	no	no	1	0	2	4 ^a	3 ^a
Intel 80960	2 ^a	8 ^a	9 ^a	no	no	1	yes ^a	—	5	3 ^a

- a RISC that does not conform to this characteristic.
 b CISC that does not conform to this characteristic.

The Effects of Pipelining

Load $rA \leftarrow M$
 Load $rB \leftarrow M$
 Add $rC \leftarrow rA + rB$
 Store $M \leftarrow rC$
 Branch X

I	E	D								
			I	E	D					
						I	E			
								I	E	D
									I	E

(a) Sequential execution

Load $rA \leftarrow M$
 Load $rB \leftarrow M$
 Add $rC \leftarrow rA + rB$
 Store $M \leftarrow rC$
 Branch X
 NOOP

I	E	D								
	I		E	D						
			I		E					
						I	E	D		
							I		E	
									I	E

(b) Two-stage pipelined timing

Load $rA \leftarrow M$
 Load $rB \leftarrow M$
 NOOP
 Add $rC \leftarrow rA + rB$
 Store $M \leftarrow rC$
 Branch X
 NOOP

I	E	D							
	I	E	D						
		I	E						
			I	E					
				I	E	D			
					I	E			
						I	E		

(c) Three-stage pipelined timing

Load $rA \leftarrow M$
 Load $rB \leftarrow M$
 NOOP
 NOOP
 Add $rC \leftarrow rA + rB$
 Store $M \leftarrow rC$
 Branch X
 NOOP
 NOOP

I	E ₁	E ₂	D						
	I	E ₁	E ₂	D					
		I	E ₁	E ₂					
			I	E ₁	E ₂				
				I	E ₁	E ₂	D		
					I	E ₁	E ₂		
						I	E ₁	E ₂	
							I	E ₁	E ₂

(d) Four-stage pipelined timing

Figure 15.6 The Effects of Pipelining



Optimization of Pipelining



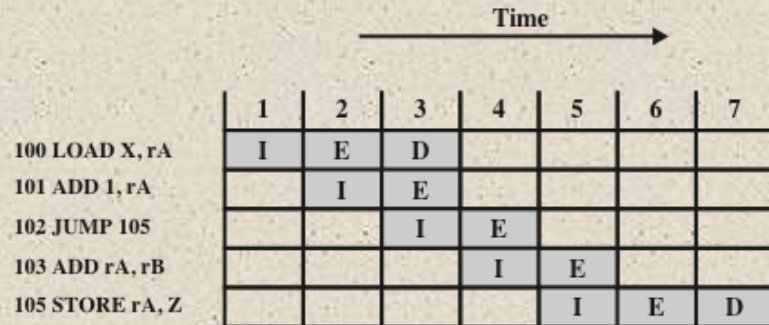
- Delayed branch
 - Does not take effect until after execution of following instruction
 - This following instruction is the delay slot
- Delayed Load
 - Register to be target is locked by processor
 - Continue execution of instruction stream until register required
 - Idle until load is complete
 - Re-arranging instructions can allow useful work while loading
- Loop Unrolling
 - Replicate body of loop a number of times
 - Iterate loop fewer times
 - Reduces loop overhead
 - Increases instruction parallelism
 - Improved register, data cache, or TLB locality

Normal and Delayed Branch

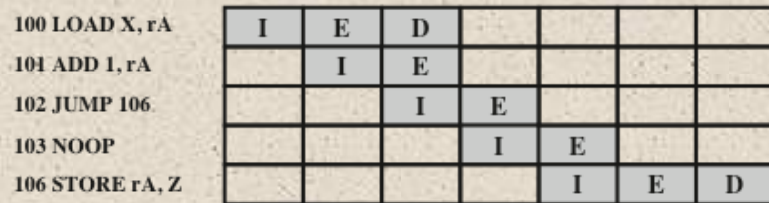
Address	Normal Branch		Delayed Branch		Optimized Delayed Branch	
100	LOAD	X, rA	LOAD	X, rA	LOAD	X, rA
101	ADD	l, rA	ADD	l, rA	JUMP	105
102	JUMP	105	JUMP	106	ADD	l, rA
103	ADD	rA, rB	NOOP		ADD	rA, rB
104	SUB	rC, rB	ADD	rA, rB	SUB	rC, rB
105	STORE	rA, Z	SUB	rC, rB	STORE	rA, Z
106			STORE	rA, Z		



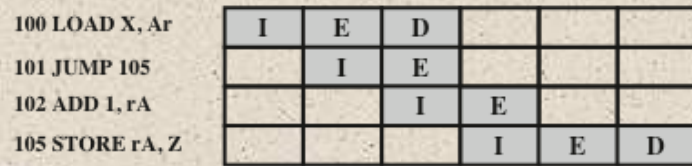
Use of the Delayed Branch



(a) Traditional Pipeline



(b) RISC Pipeline with Inserted NOOP



(c) Reversed Instructions

Figure 15.7 Use of the Delayed Branch

MIPS R4000

One of the first commercially available RISC chip sets was developed by MIPS Technology Inc.

Inspired by an experimental system developed at Stanford

Has substantially the same architecture and instruction set of the earlier MIPS designs (R2000 and R3000)

Uses 64 bits for all internal and external data paths and for addresses, registers, and the ALU

Is partitioned into two sections, one containing the CPU and the other containing a coprocessor for memory management

Supports thirty-two 64-bit registers

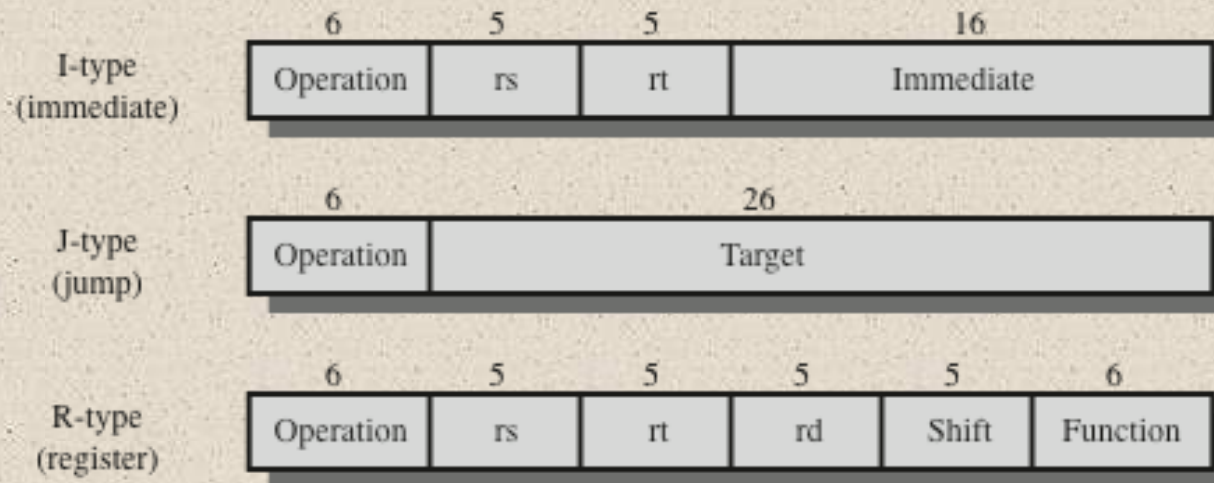
Provides for up to 128 Kbytes of high-speed cache, half each for instructions and data



MIPS R-Series Instruction Set

OP	Description	OP	Description
Load/Store Instructions		Multiply/Divide Instructions	
LB	Load Byte	MULT	Multiply
LBU	Load Byte Unsigned	MULTU	Multiply Unsigned
LH	Load Halfword	DIV	Divide
LHU	Load Halfword Unsigned	DIVU	Divide Unsigned
LW	Load Word	MFHI	Move From HI
LWL	Load Word Left	MTHI	Move To HI
LWR	Load Word Right	MFLO	Move From LO
SB	Store Byte	MTLO	Move To LO
SH	Store Halfword	Jump and Branch Instructions	
SW	Store Word	J	Jump
SWL	Store Word Left	JAL	Jump and Link
SWR	Store Word Right	JR	Jump to Register
Arithmetic Instructions (ALU Immediate)		JALR	Jump and Link Register
ADDI	Add Immediate	BEQ	Branch on Equal
ADDIU	Add Immediate Unsigned	BNE	Branch on Not Equal
SLTI	Set on Less Than Immediate	BLEZ	Branch on Less Than or Equal to Zero
SLTIU	Set on Less Than Immediate Unsigned	BGTZ	Branch on Greater Than Zero
ANDI	AND Immediate	BLTZ	Branch on Less Than Zero
ORI	OR Immediate	BGEZ	Branch on Greater Than or Equal to Zero
XORI	Exclusive-OR Immediate	BLTZAL	Branch on Less Than Zero And Link
LUI	Load Upper Immediate	BGEZAL	Branch on Greater Than or Equal to Zero And Link
Arithmetic Instructions (3-operand, R-type)		Coprocessor Instructions	
ADD	Add	LWCz	Load Word to Coprocessor
ADDU	Add Unsigned	SWCz	Store Word to Coprocessor
SUB	Subtract	MTCz	Move To Coprocessor
SUBU	Subtract Unsigned	MFCz	Move From Coprocessor
SLT	Set on Less Than	CTCz	Move Control To Coprocessor
SLTU	Set on Less Than Unsigned	CFCz	Move Control From Coprocessor
AND	AND	COPz	Coprocessor Operation
OR	OR	BCzT	Branch on Coprocessor z True
XOR	Exclusive-OR	BCzF	Branch on Coprocessor z False
NOR	NOR	Special Instructions	
Shift Instructions		SYSCALL	System Call
SLL	Shift Left Logical	BREAK	Break
SRL	Shift Right Logical		
SRA	Shift Right Arithmetic		
SLLV	Shift Left Logical Variable		
SRLV	Shift Right Logical Variable		
SRAV	Shift Right Arithmetic Variable		

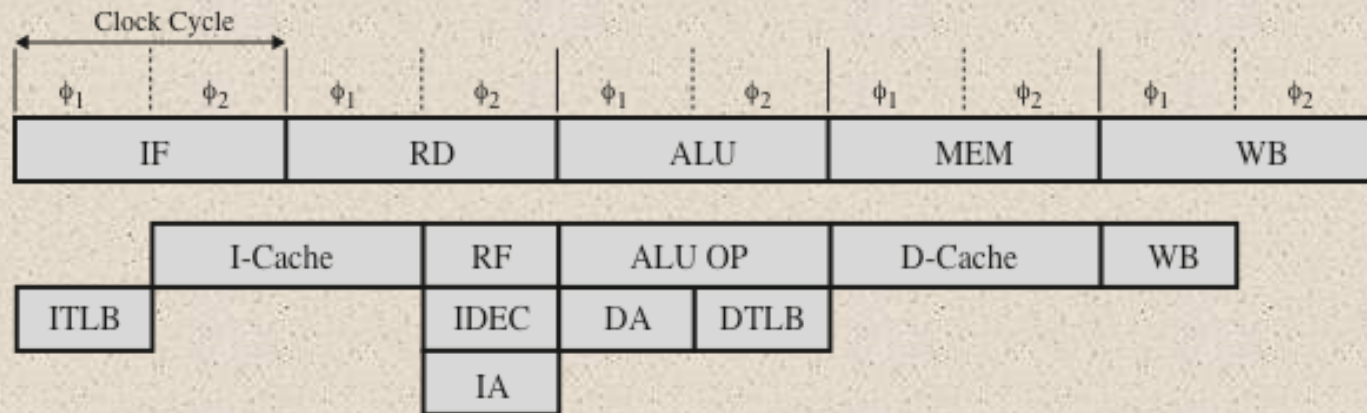
MIPS Instruction Formats



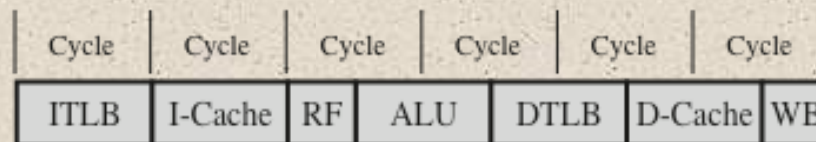
- Operation Operation code
- rs Source register specifier
- rt Source/destination register specifier
- Immediate Immediate, branch, or address displacement
- Target Jump target address
- rd Destination register specifier
- Shift Shift amount
- Function ALU/shift function specifier

Figure 15.9 MIPS Instruction Formats

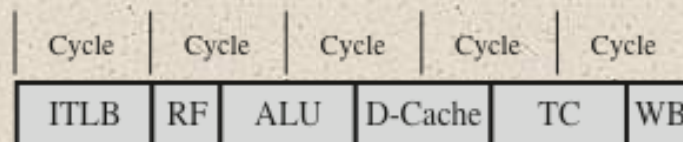
Enhancing the R3000 Pipeline



(a) Detailed R3000 pipeline



(b) Modified R3000 pipeline with reduced latencies



(c) Optimized R3000 pipeline with parallel TLB and cache accesses

- IF = Instruction fetch
- RD = Read
- MEM = Memory access
- WB = Write back to register file
- I-Cache = Instruction cache access
- RF = Fetch operand from register
- D-Cache = Data cache access
- ITLB = Instruction address translation
- IDEC = Instruction decode
- IA = Compute instruction address
- DA = Calculate data virtual address
- DTLB = Data address translation
- TC = Data cache tag check

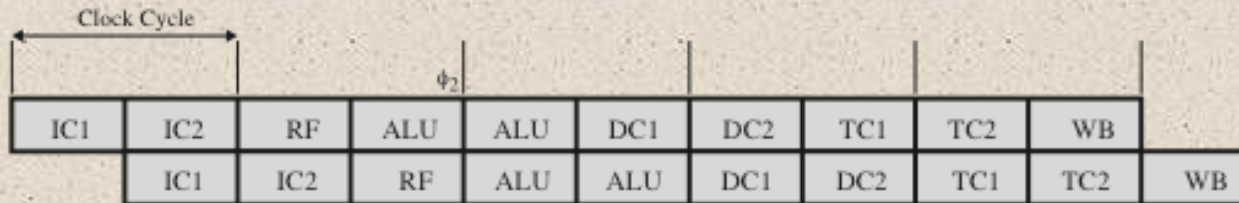
Figure 15.10 Enhancing the R3000 Pipeline

R3000 Pipeline Stages

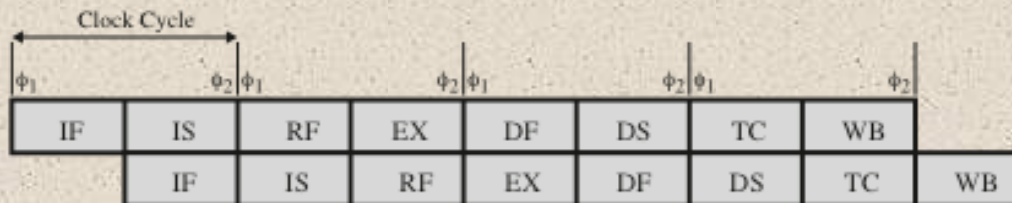


Pipeline Stage	Phase	Function
IF	$\phi 1$	Using the TLB, translate an instruction virtual address to a physical address (after a branching decision).
IF	$\phi 2$	Send the physical address to the instruction address.
RD	$\phi 1$	Return instruction from instruction cache. Compare tags and validity of fetched instruction.
RD	$\phi 2$	Decode instruction. Read register file. If branch, calculate branch target address.
ALU	$\phi 1 + \phi 2$	If register-to-register operation, the arithmetic or logical operation is performed.
ALU	$\phi 1$	If a branch, decide whether the branch is to be taken or not. If a memory reference (load or store), calculate data virtual address.
ALU	$\phi 2$	If a memory reference, translate data virtual address to physical using TLB.
MEM	$\phi 1$	If a memory reference, send physical address to data cache.
MEM	$\phi 2$	If a memory reference, return data from data cache, and check tags.
WB	$\phi 1$	Write to register file.

Theoretical R3000 and Actual R4000 Superpipelines



(a) Superpipelined implementation of the optimized R3000 pipeline



(b) R4000 pipeline

IF = Instruction fetch first half
 IS = Instruction fetch second half
 RF = Fetch operands from register
 EX = Instruction execute
 IC = Instruction cache

DC = Data cache
 DF = Data cache first half
 DS = Data cache second half
 TC = Tag check
 WB = Write back to register file

Figure 15.11 Theoretical R3000 and Actual R4000 Superpipelines

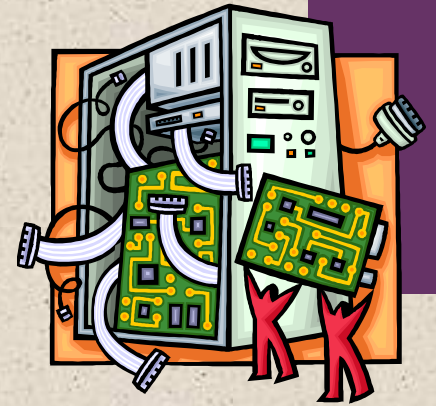
R4000 Pipeline Stages

- Instruction fetch first half
 - Virtual address is presented to the instruction cache and the translation lookaside buffer
- Instruction fetch second half
 - Instruction cache outputs the instruction and the TLB generates the physical address
- Register file
 - One of three activities can occur:
 - Instruction is decoded and check made for interlock conditions
 - Instruction cache tag check is made
 - Operands are fetched from the register file
- Tag check
 - Cache tag checks are performed for loads and stores
- Instruction execute
 - One of three activities can occur:
 - If register-to-register operation the ALU performs the operation
 - If a load or store the data virtual address is calculated
 - If branch the branch target virtual address is calculated and branch operations checked
- Data cache first
 - Virtual address is presented to the data cache and TLB
- Data cache second
 - The TLB generates the physical address and the data cache outputs the data
- Write back
 - Instruction result is written back to register file



SPARC

Scalable Processor Architecture



- Architecture defined by Sun Microsystems
- Sun licenses the architecture to other vendors to produce SPARC-compatible machines
- Inspired by the Berkeley RISC 1 machine, and its instruction set and register organization is based closely on the Berkeley RISC model



SPARC Register Window Layout With Three Procedures

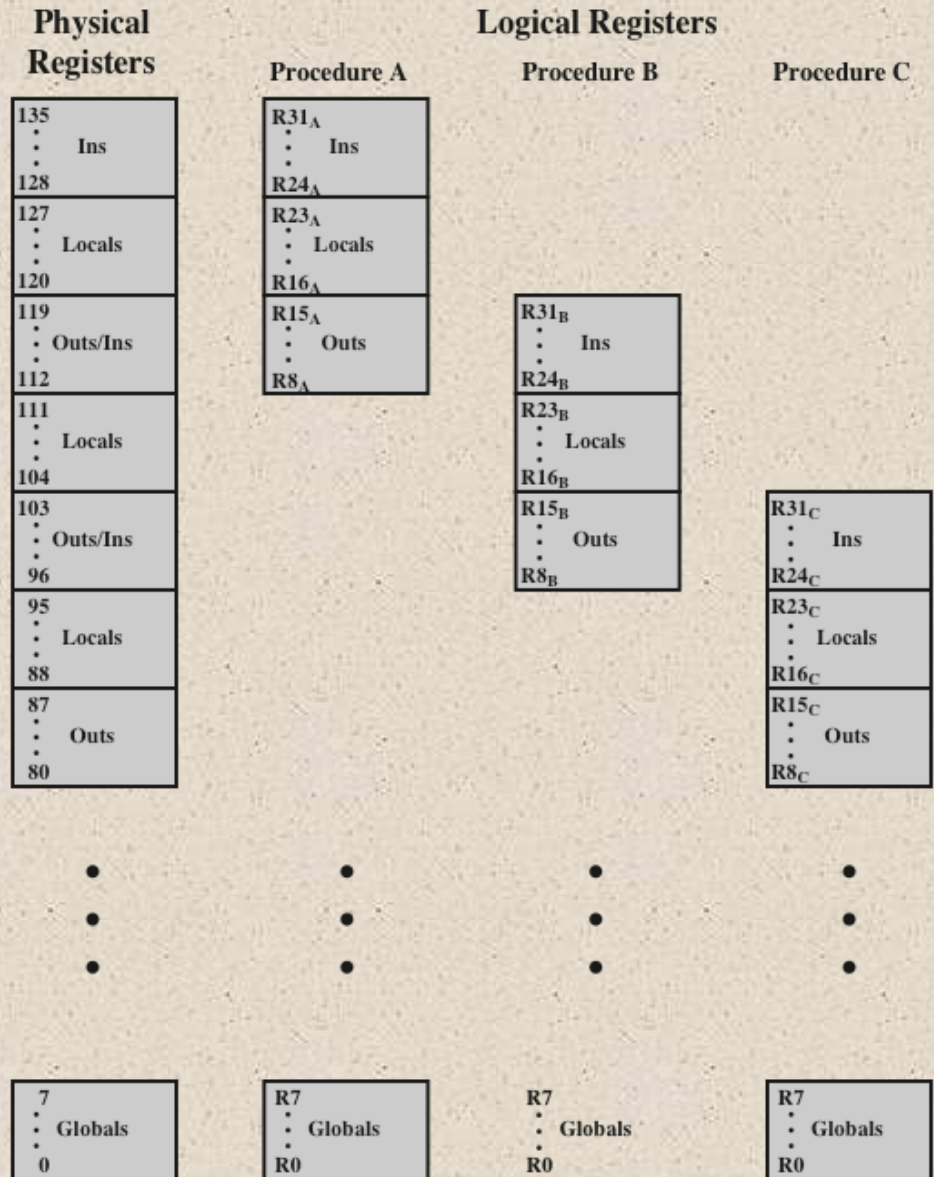


Figure 15.12 SPARC Register Window Layout with Three Procedures



Eight Register Windows Forming a Circular Stack in SPARC

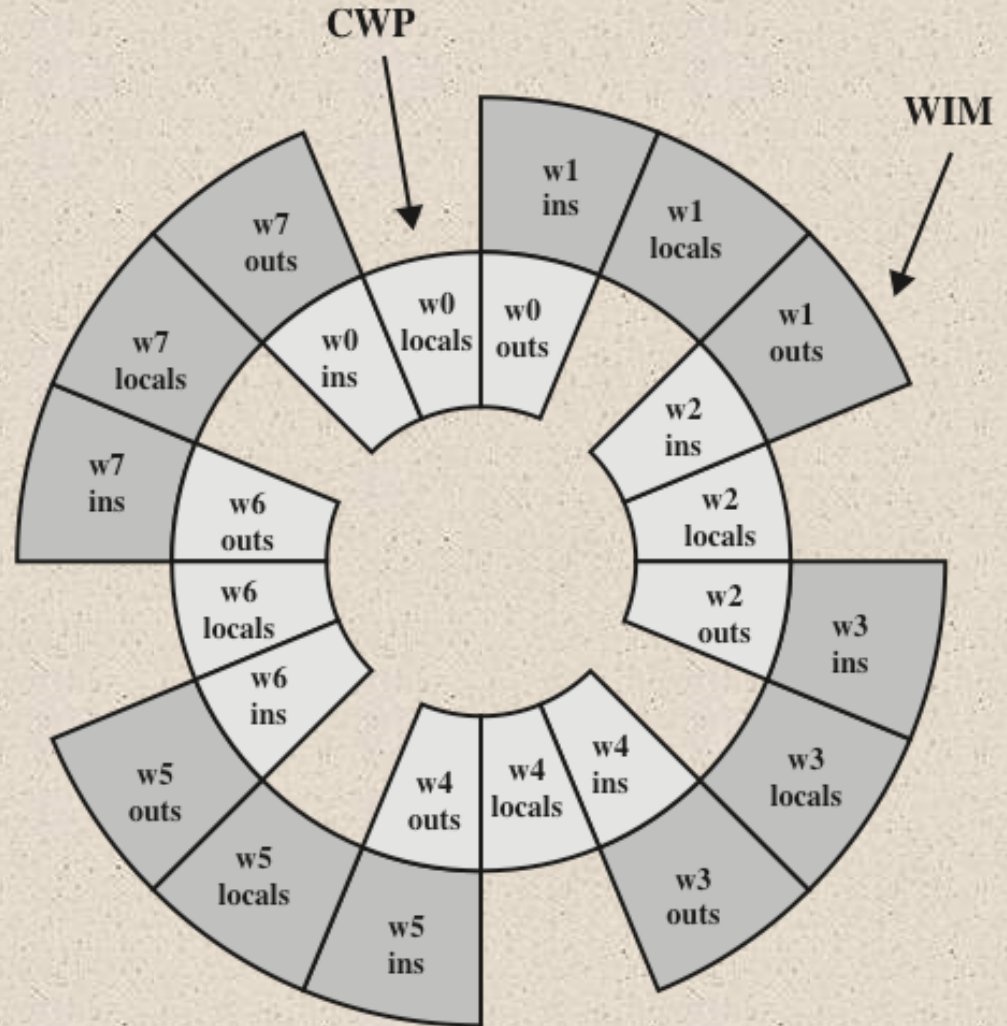


Figure 15.13 Eight Register Windows Forming a Circular Stack in SPARC



SPARC Instruction Set

OP	Description	OP	Description
Load/Store Instructions		Arithmetic Instructions	
LDSB	Load signed byte	ADD	Add
LDSH	Load signed halfword	ADDCC	Add, set icc
LDUB	Load unsigned byte	ADDX	Add with carry
LDUH	Load unsigned halfword	ADDXCC	Add with carry, set icc
LD	Load word	SUB	Subtract
LDD	Load doubleword	SUBCC	Subtract, set icc
STB	Store byte	SUBX	Subtract with carry
STH	Store halfword	SUBXCC	Subtract with carry, set icc
STD	Store word	MULSCC	Multiply step, set icc
STDD	Store doubleword	Jump/Branch Instructions	
Shift Instructions		BCC	Branch on condition
SLL	Shift left logical	FBCC	Branch on floating-point condition
SRL	Shift right logical	CBCC	Branch on coprocessor condition
SRA	Shift right arithmetic	CALL	Call procedure
Boolean Instructions		JMPL	Jump and link
AND	AND	TCC	Trap on condition
ANDCC	AND, set icc	SAVE	Advance register window
ANDN	NAND	RESTORE	Move windows backward
ANDNCC	NAND, set icc	RETT	Return from trap
OR	OR	Miscellaneous Instructions	
ORCC	OR, set icc	SETHI	Set high 22 bits
ORN	NOR	UNIMP	Unimplemented instruction (trap)
ORNCC	NOR, set icc	RD	Read a special register
XOR	XOR	WR	Write a special register
XORCC	XOR, set icc	IFLUSH	Instruction cache flush
XNOR	Exclusive NOR		
XNORCC	Exclusive NOR, set icc		

Table 15.11 SPARC Instruction Set

Synthesizing Other Addressing Modes with SPARC Addressing Modes

Instruction Type	Addressing Mode	Algorithm	SPARC Equivalent
Register-to-register	Immediate	operand = A	S2
Load, store	Direct	EA = A	$R_0 + S2$
Register-to-register	Register	EA = R	R_{S1}, R_{S2}
Load, store	Register Indirect	EA = (R)	$R_{S1} + 0$
Load, store	Displacement	EA = (R) + A	$R_{S1} + S2$

S2 = either a register operand or a 13-bit immediate operand



SPARC Instruction Formats

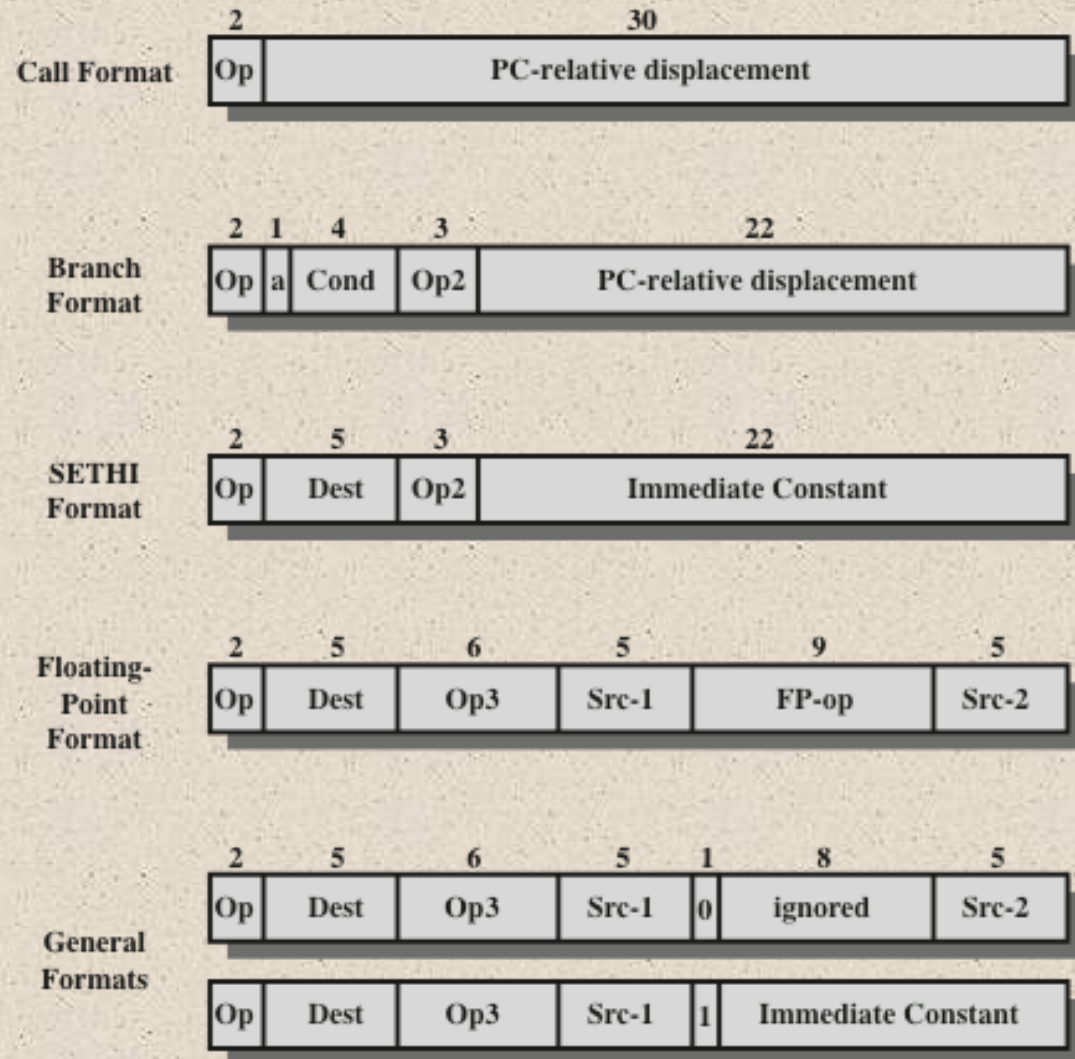
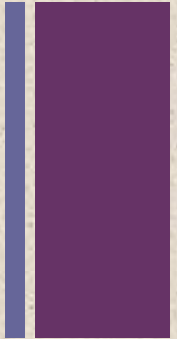


Figure 15.14 SPARC Instruction Formats



RISC versus CISC Controversy



- Quantitative
 - Compare program sizes and execution speeds of programs on RISC and CISC machines that use comparable technology
- Qualitative
 - Examine issues of high level language support and use of VLSI real estate
- Problems with comparisons:
 - No pair of RISC and CISC machines that are comparable in life-cycle cost, level of technology, gate complexity, sophistication of compiler, operating system support, etc.
 - No definitive set of test programs exists
 - Difficult to separate hardware effects from compiler effects
 - Most comparisons done on “toy” rather than commercial products
 - Most commercial devices advertised as RISC possess a mixture of RISC and CISC characteristics